

Atomic Data Needs for Laboratory & Astrophysical Plasma Research

Michael Finkenthal

Department of Physics & Astronomy
The Johns Hopkins University

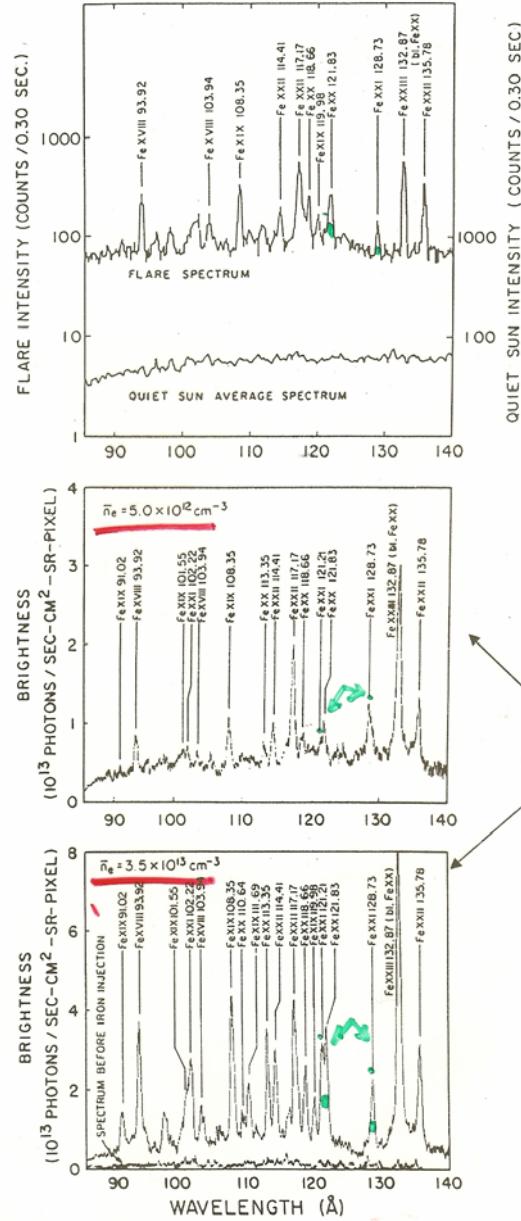


Content

- The link between laboratory and astrophysical plasma spectroscopy
- How do we establish the ‘needs’ for atomic data?
 - Atomic structure calculations
 - Ingredients for CR modeling
- Benchmarking of atomic data and CR models

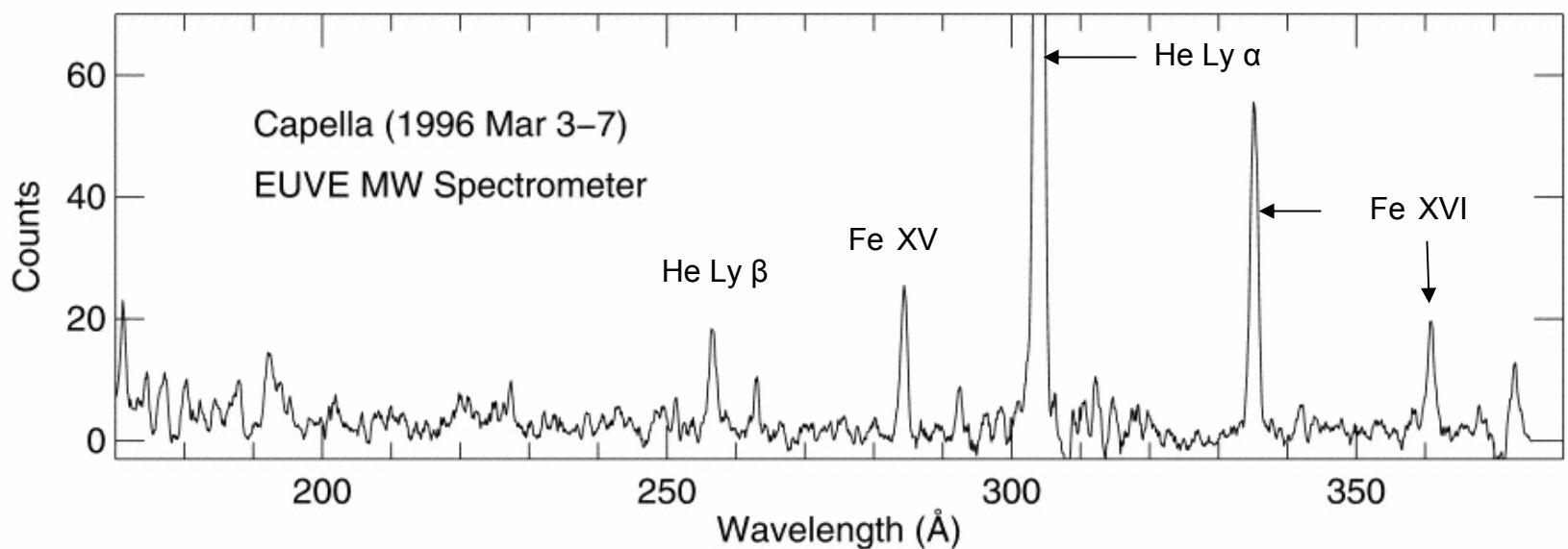
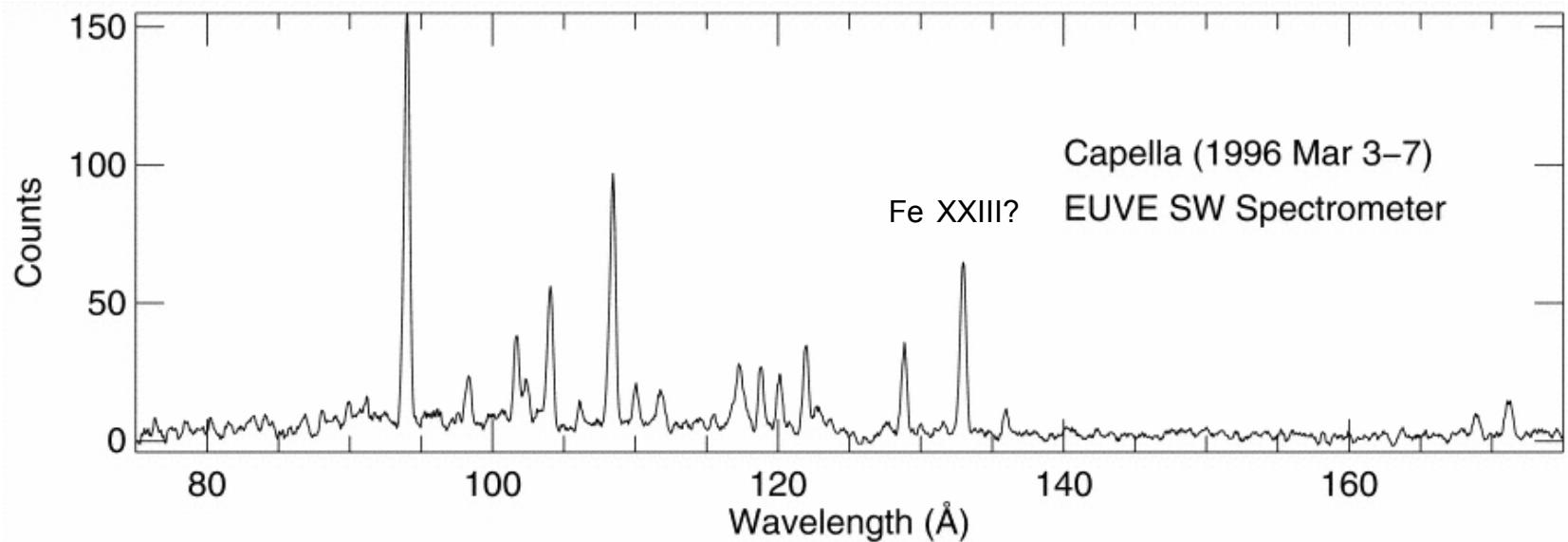
Collaborators

- Kevin Fournier and Stephanie Hansen
 - Lawrence Livermore National Laboratory
- Mario Mattioli
 - Consortium RFX, Assoc. Euratom ENEA, Padova, Italy
- Randall Smith
 - Goddard Space Flight Center, Greenbelt, MD
- Rudolf Neu and Thomas Pütterich
 - Max Planck – Institut für Plasmaphysik, Garching, Germany
- Danilo Pacella – ENEA, Frascati, Italy

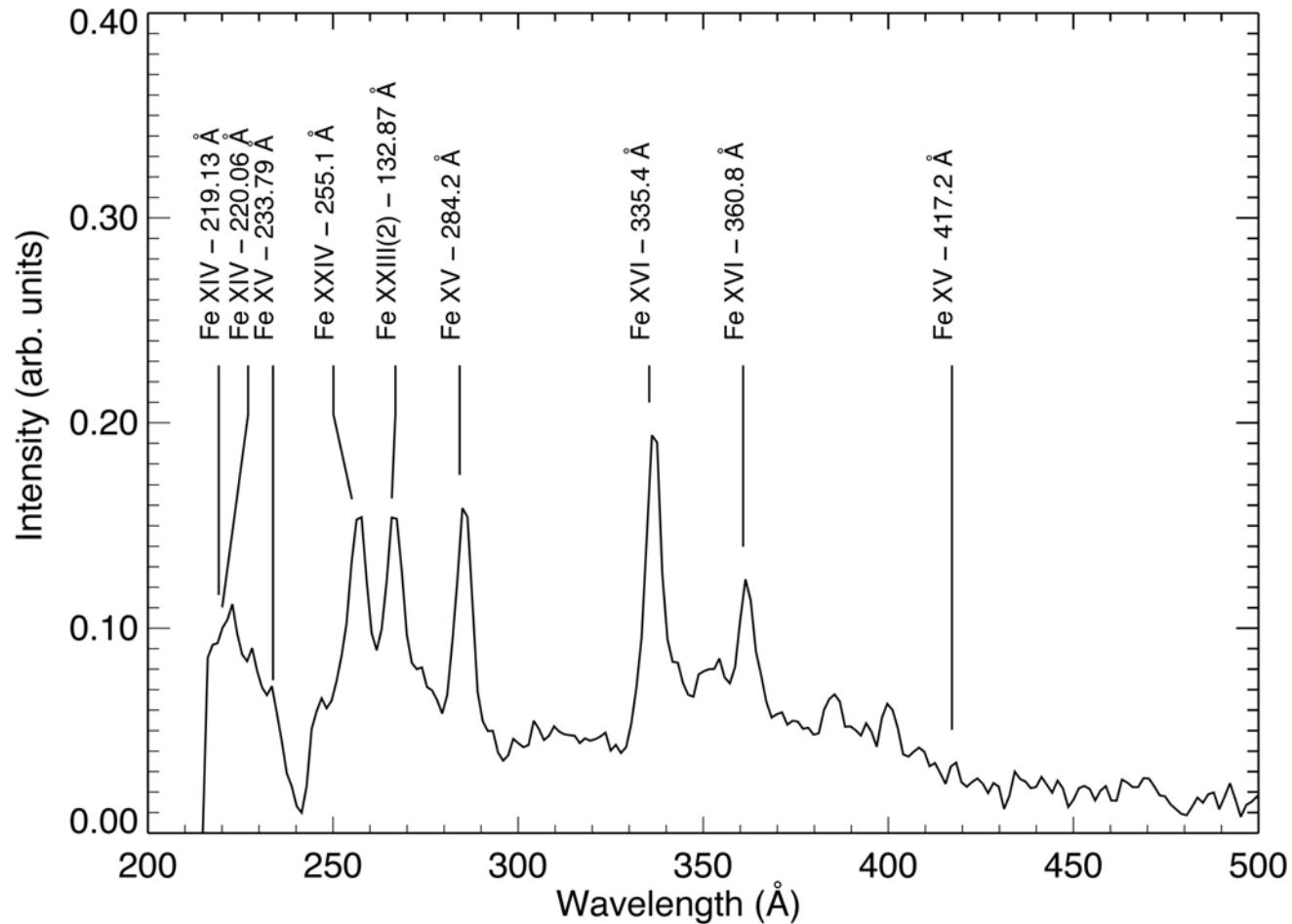


Solar spectra

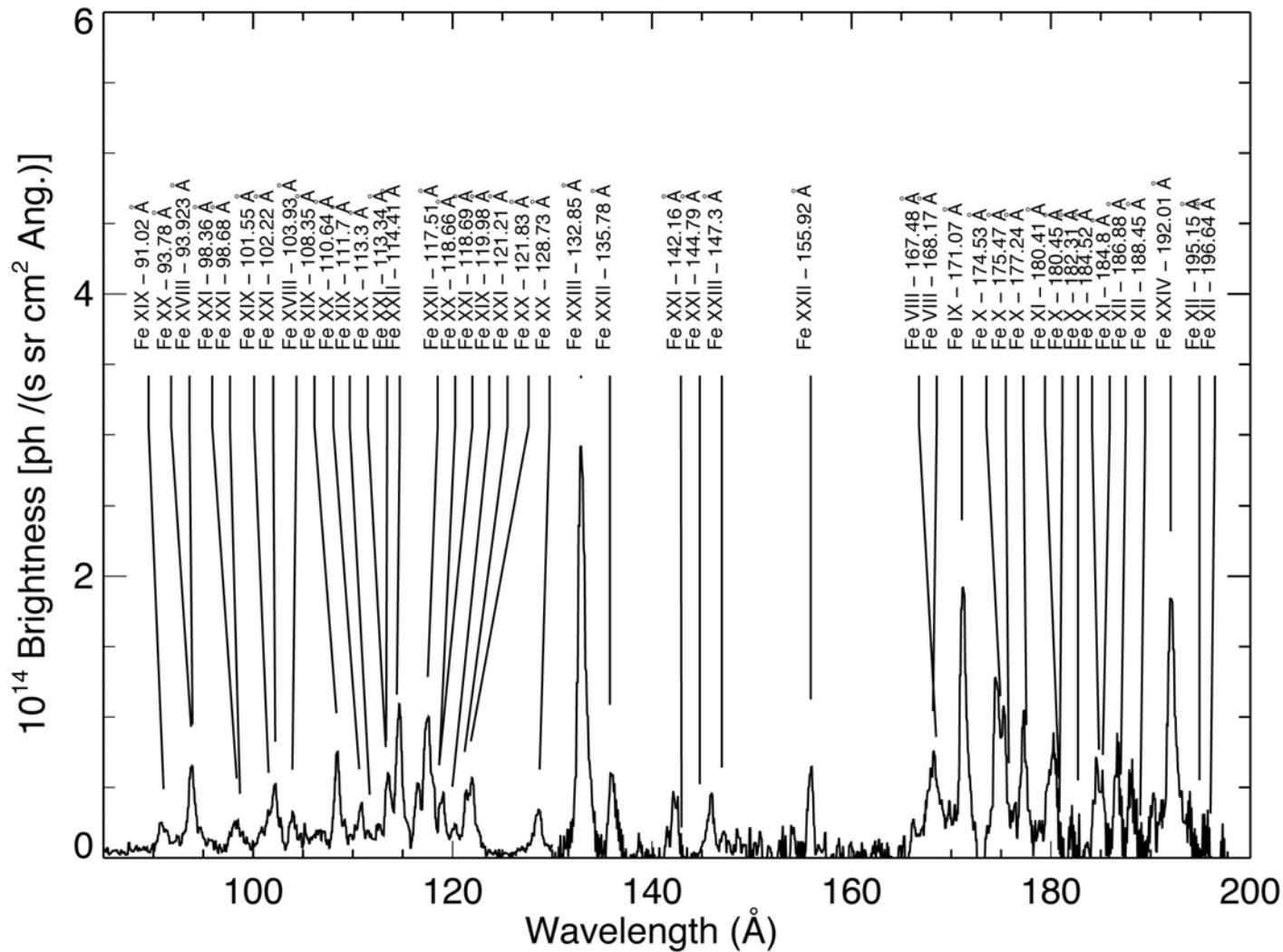
Tokamak spectra



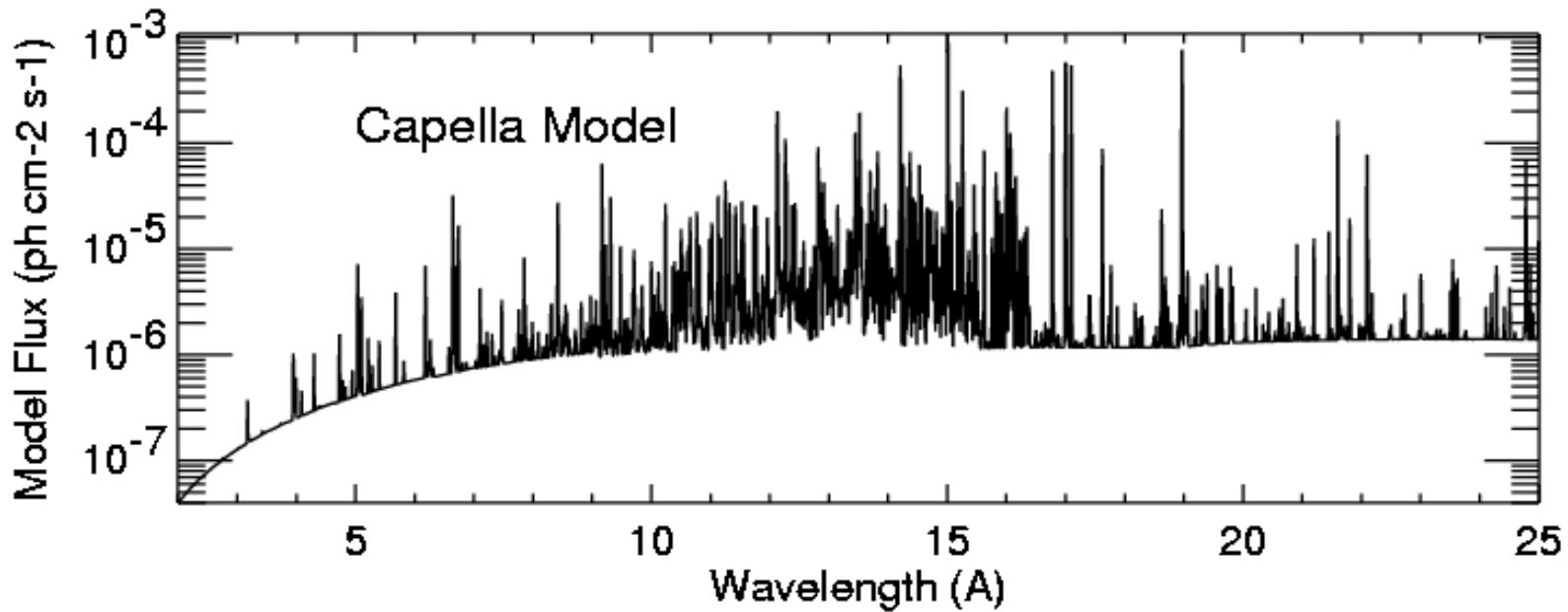
M-shell Fe spectrum emitted by tokamak plasma (FTU, Frascati)



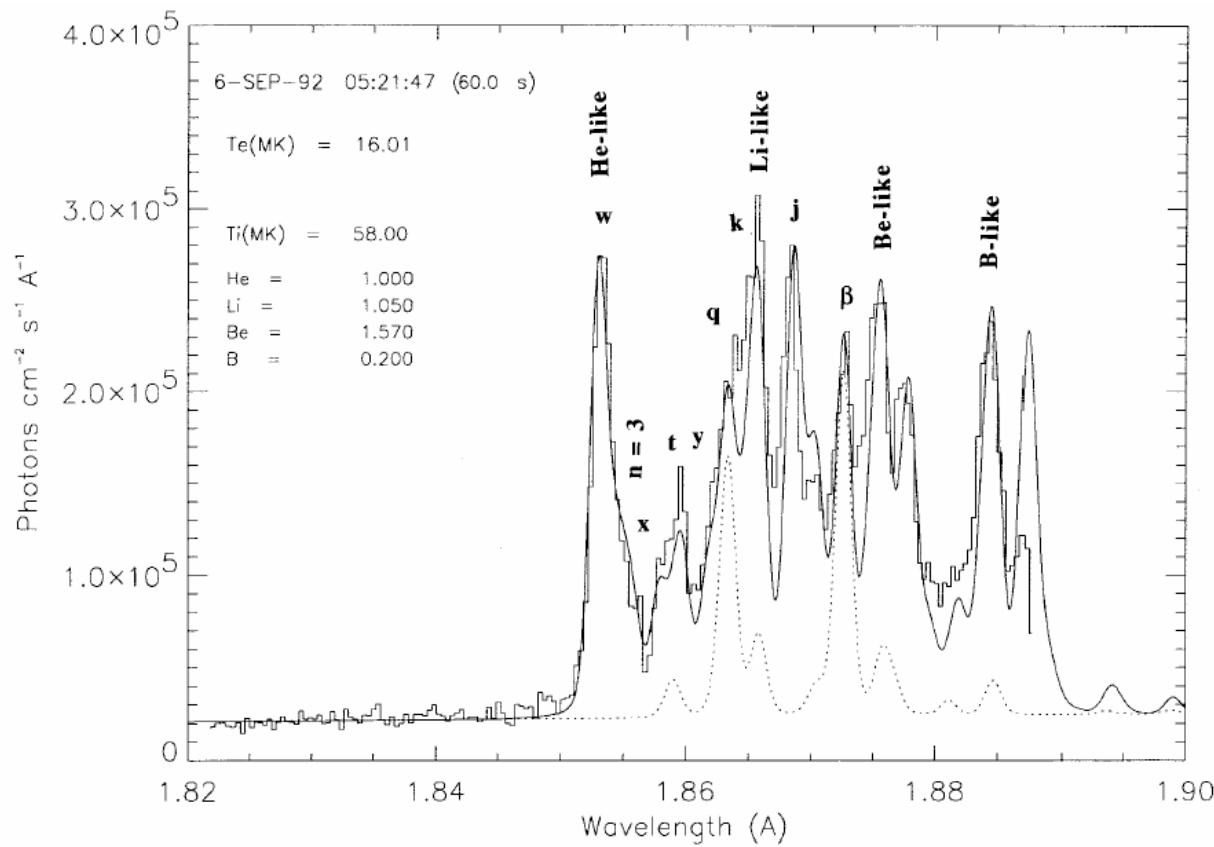
L-shell Fe spectrum from tokamak plasma, 100 – 200 Å



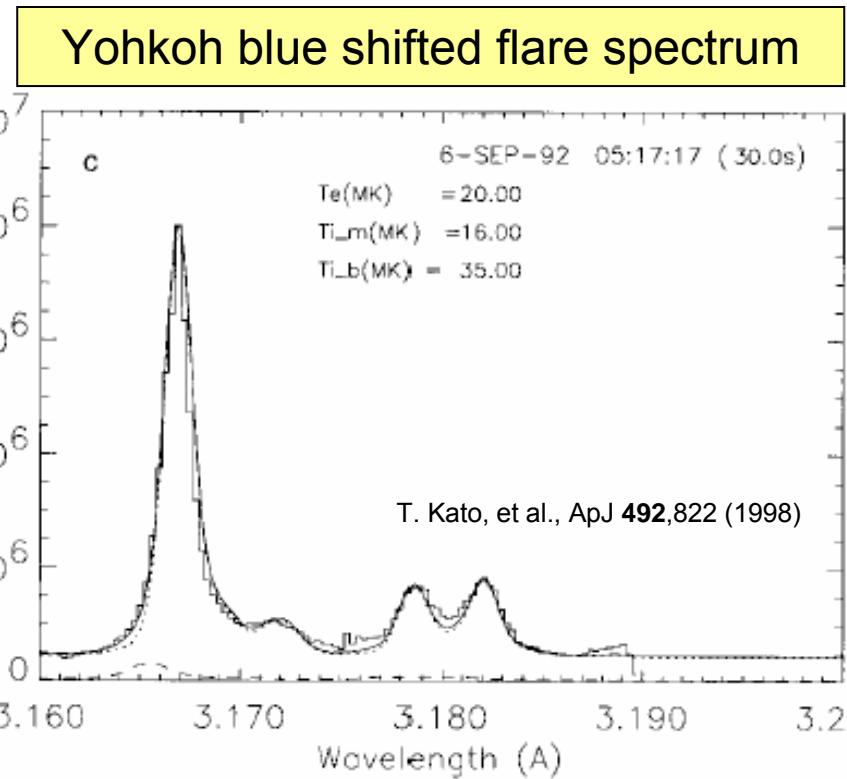
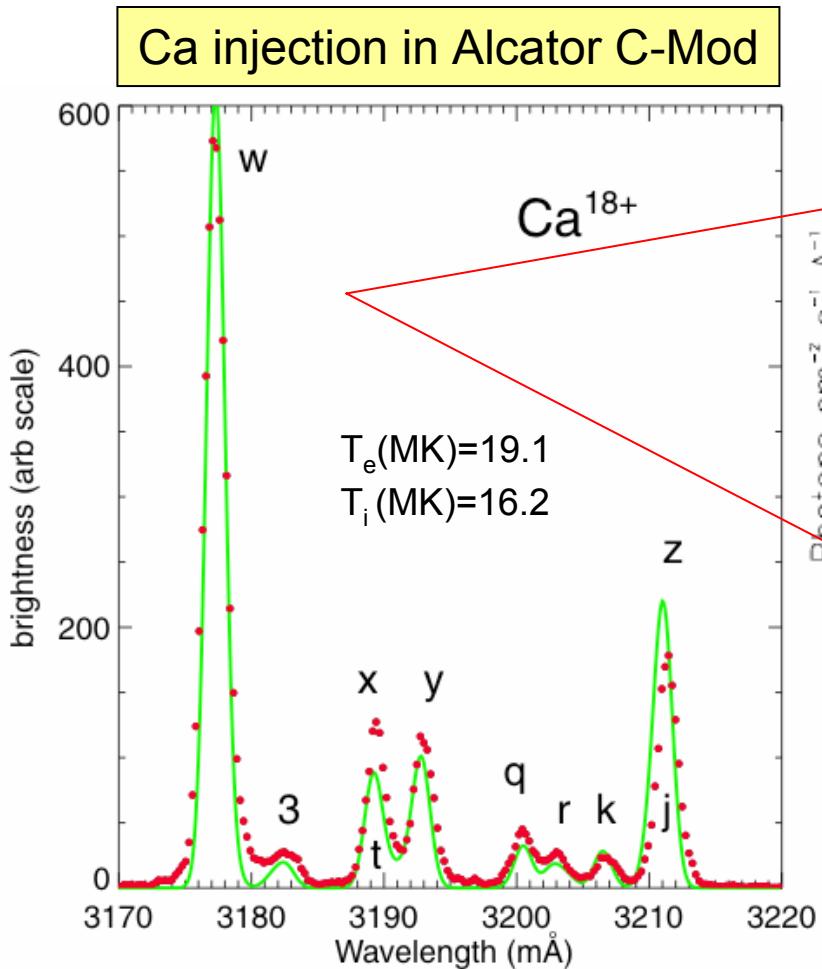
Fe L-shell from CXO observation (Behar)



K-shell Fe spectrum from recombination phase of a solar flare



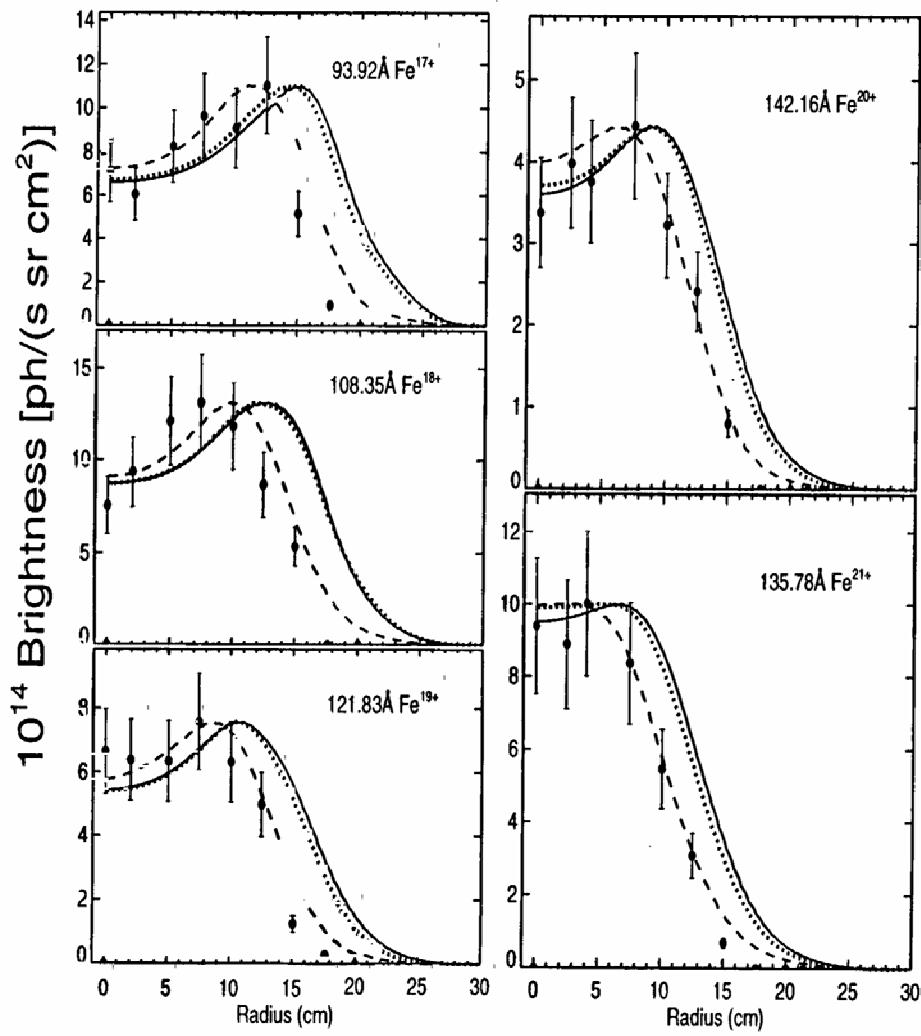
High-resolution and good detection gives access to other diagnostic line ratios



Electron temperatures during solar flares are driven by looking at the $n=3$ to W line ratio, also observed in Alcator C-Mod spectra

Experimental and modeled spatial profiles for Iron in FTU Tokamak

JOHNS HOPKINS
UNIVERSITY

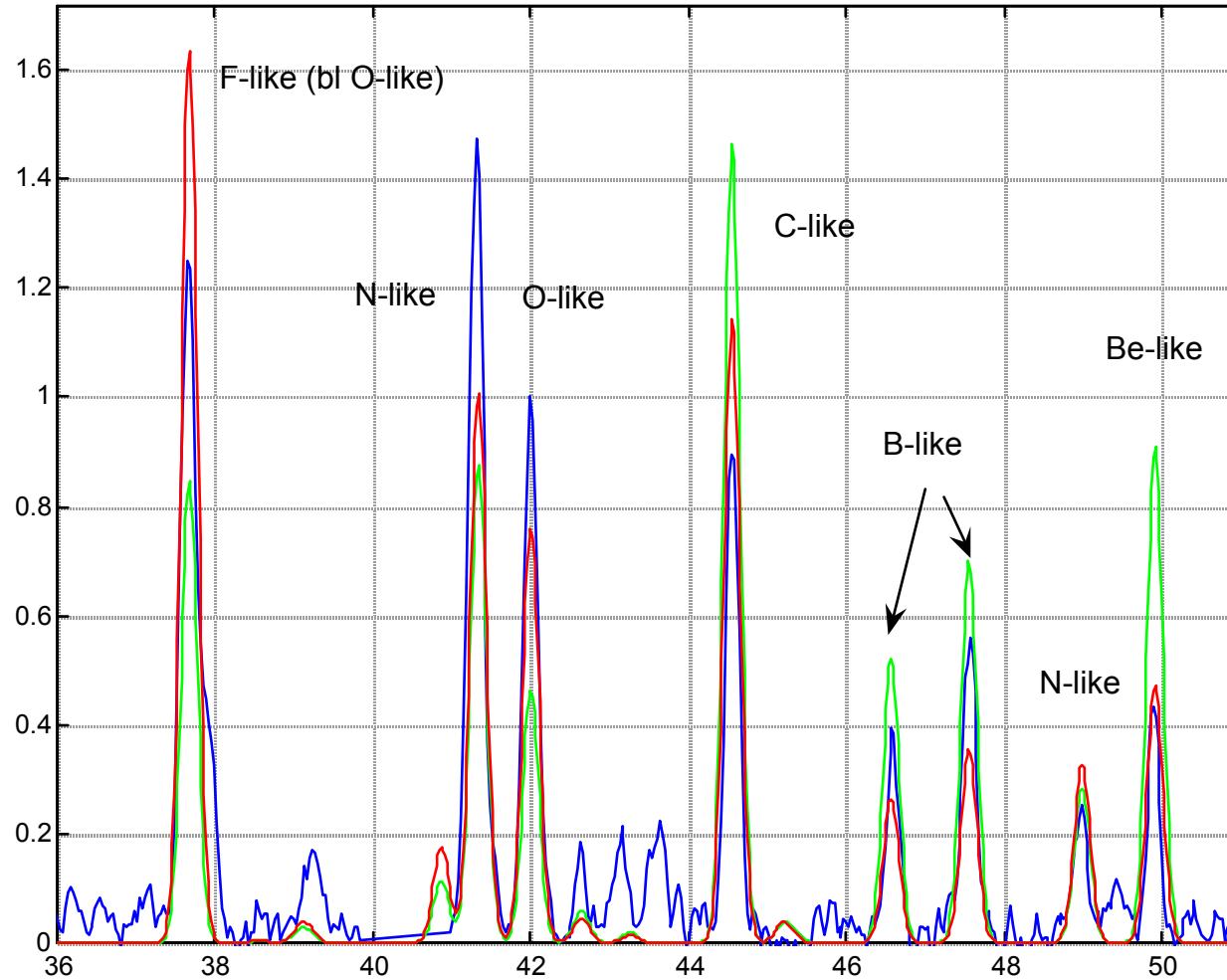


- Measured Fe¹⁷⁺ (F-like), Fe¹⁸⁺, Fe¹⁹⁺ Fe²⁰⁺, and Fe²¹⁺ profiles as a function of position and temperature ($T_e(0) \sim 2\text{keV}$)
- MIST simulations with Mazzotta and AR92 atomic physics rates are not adequate to reproduce the experiment
- DR rates (F->Ne like to B->C) must be x2 to recover experiment

..... AR92
— Mazzotta
--- Mazzotta DR x2



Comparison between experimental and modeled L-shell Molybdenum spectrum (Mattioli et al.)



Motivation for Tokamak/MCF

First Solution: Choice of First Wall

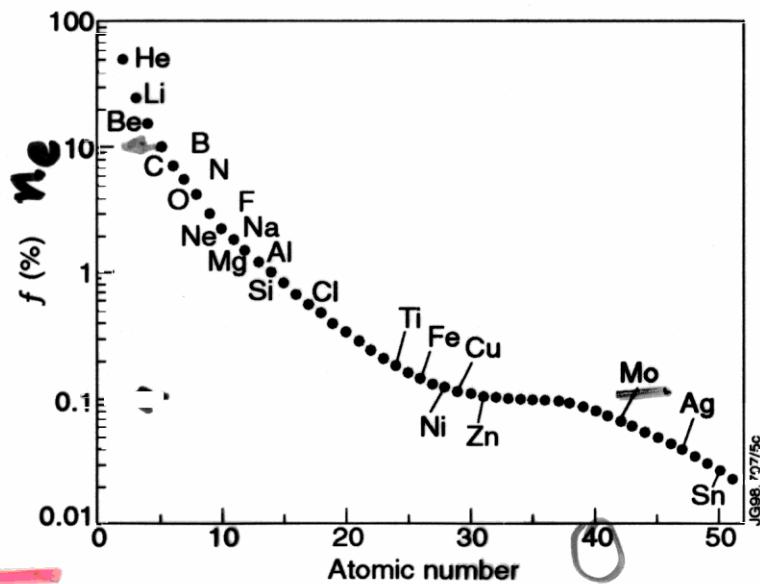
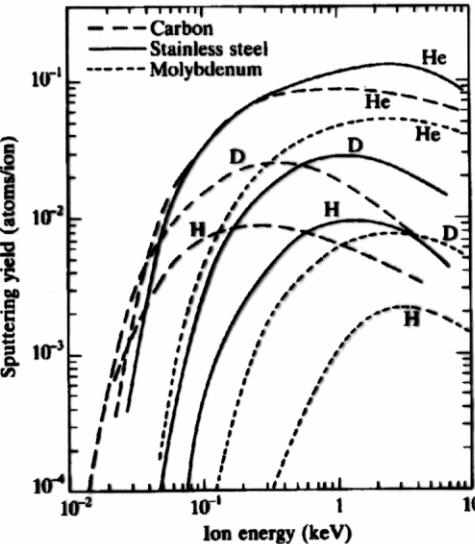
Low Z: (C, Be, Li)

- Significant sputtering problem
- Minimal radiation problem

High Z: (Mo, W)

- Low sputtering
- Significant radiator can reduce core temperature thus reducing fusion yields
- Critical concentration of high Z impurities to have an acceptable Lawson Criterion

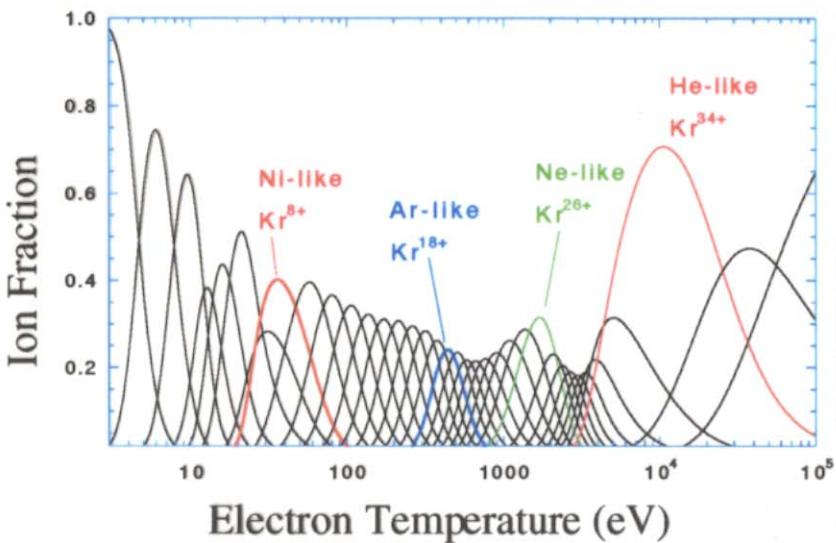
$\pi \tau \sim 10^{14} \text{ cm}^{-3} \text{ sec}$



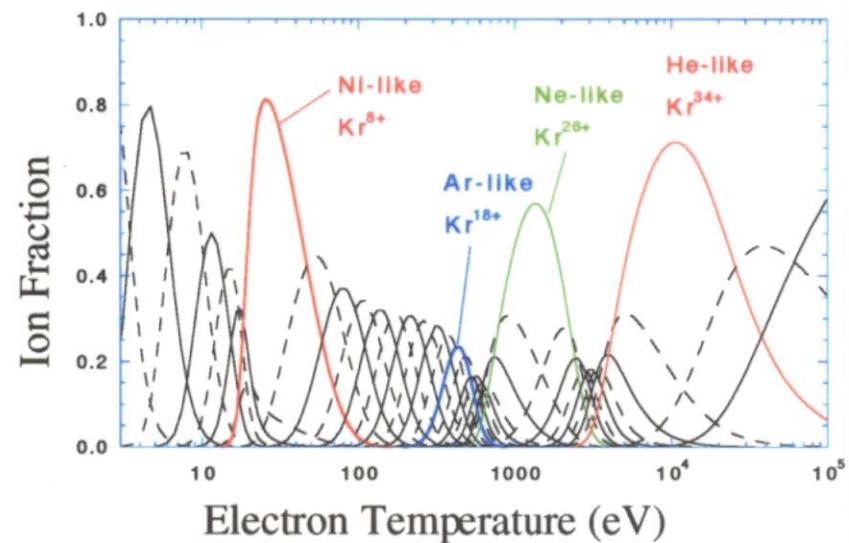
Ionization Balance Simulations for Kr



AdPak Model for Kr

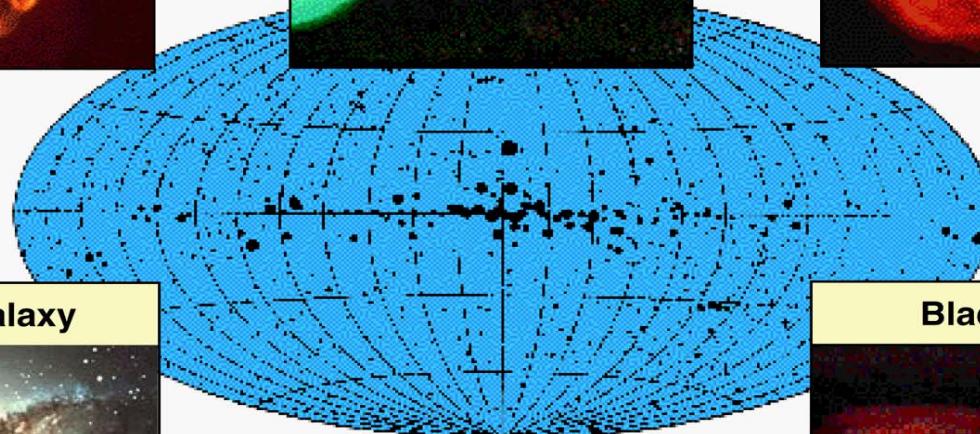
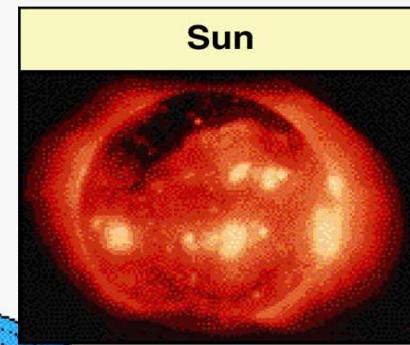
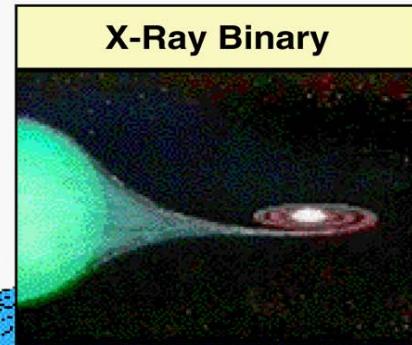


HULLAC Model for Kr



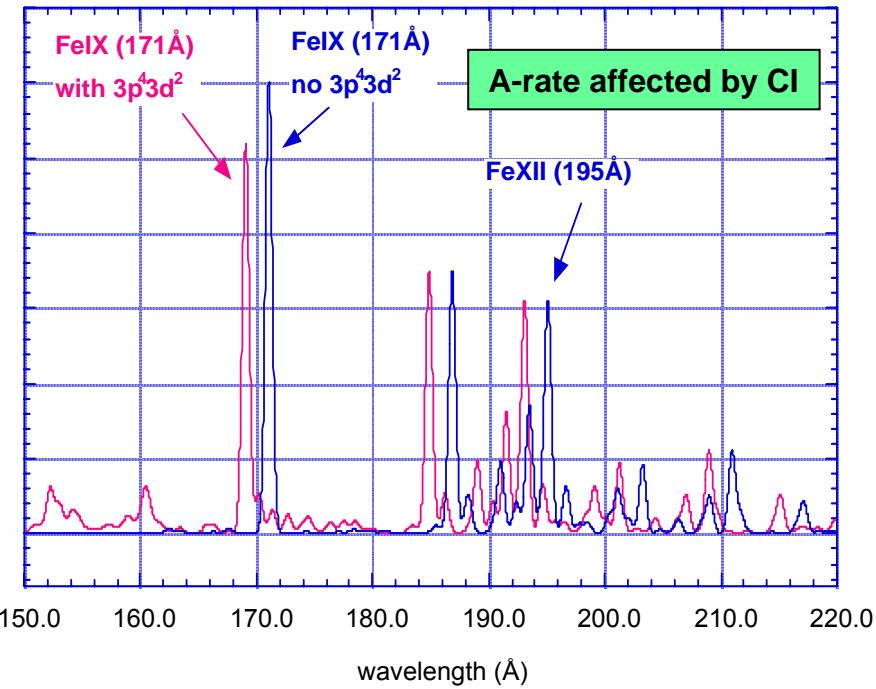
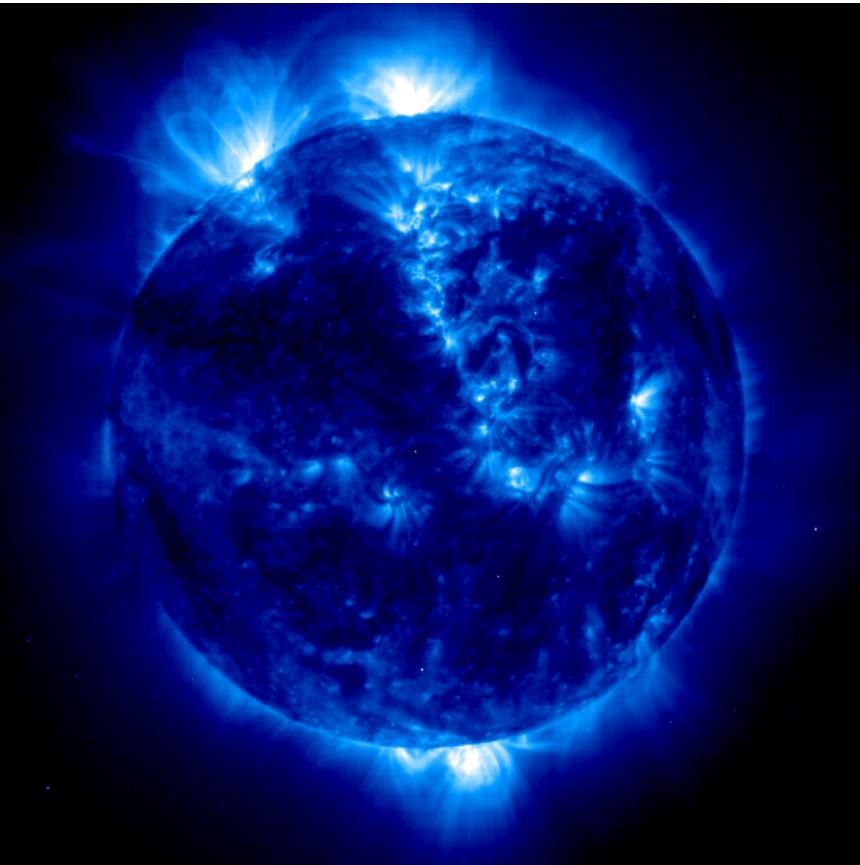
Detailed treatment of excitation-autoionization and dielectronic recombination shows significant impact on the charge state distribution

The universe is x-ray active



FeIX (171Å) is an important temperature diagnostic of the solar chromosphere

EIT SOHO image of the sun at 171Å

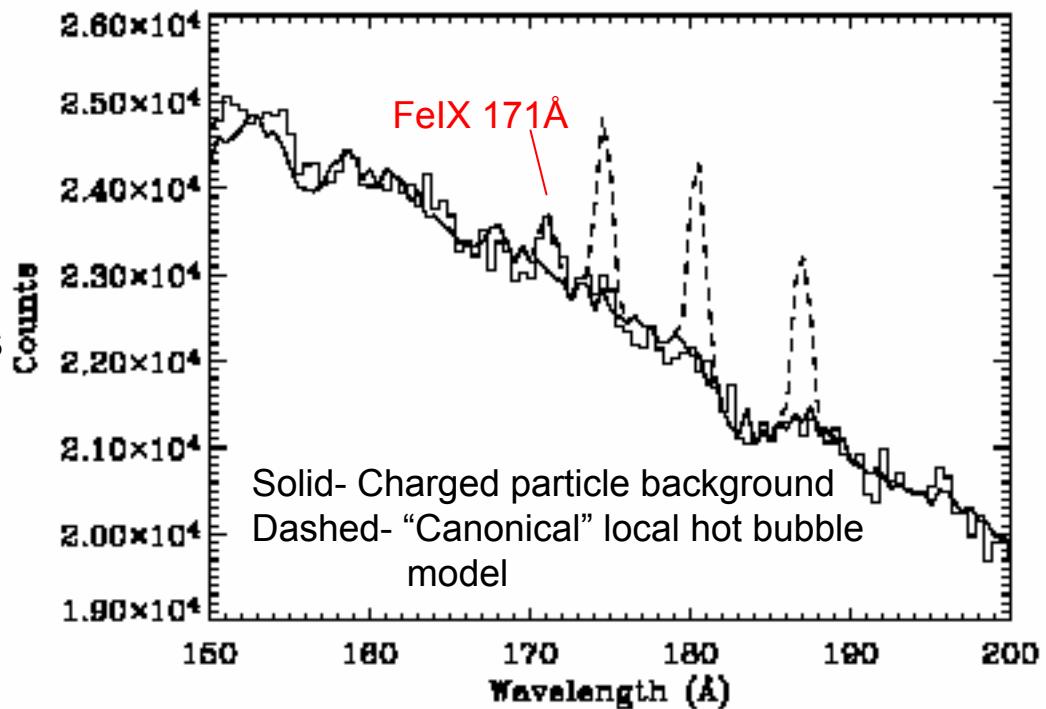


Neglect of $3p^43d^2$ in models leads to a 20% overestimate of the 171Å/195Å ratio

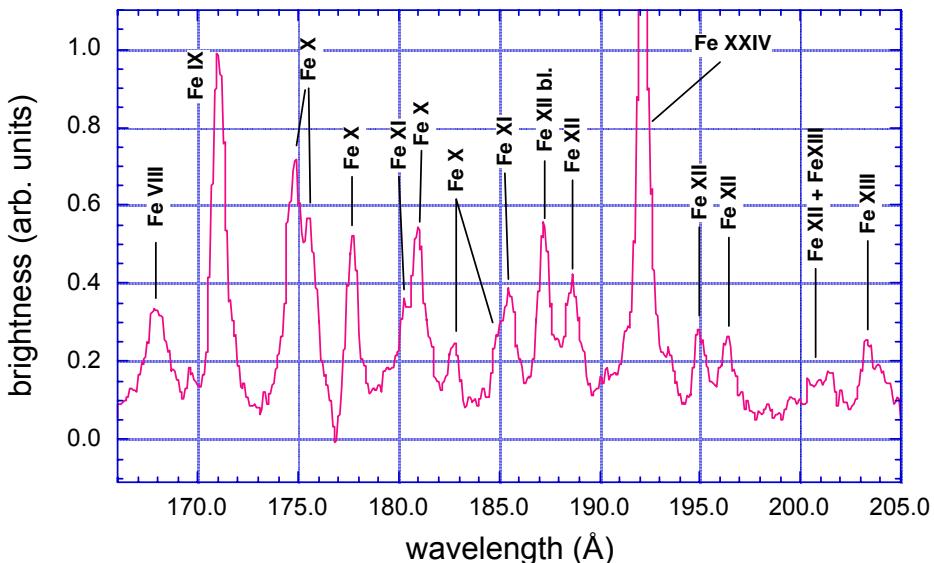
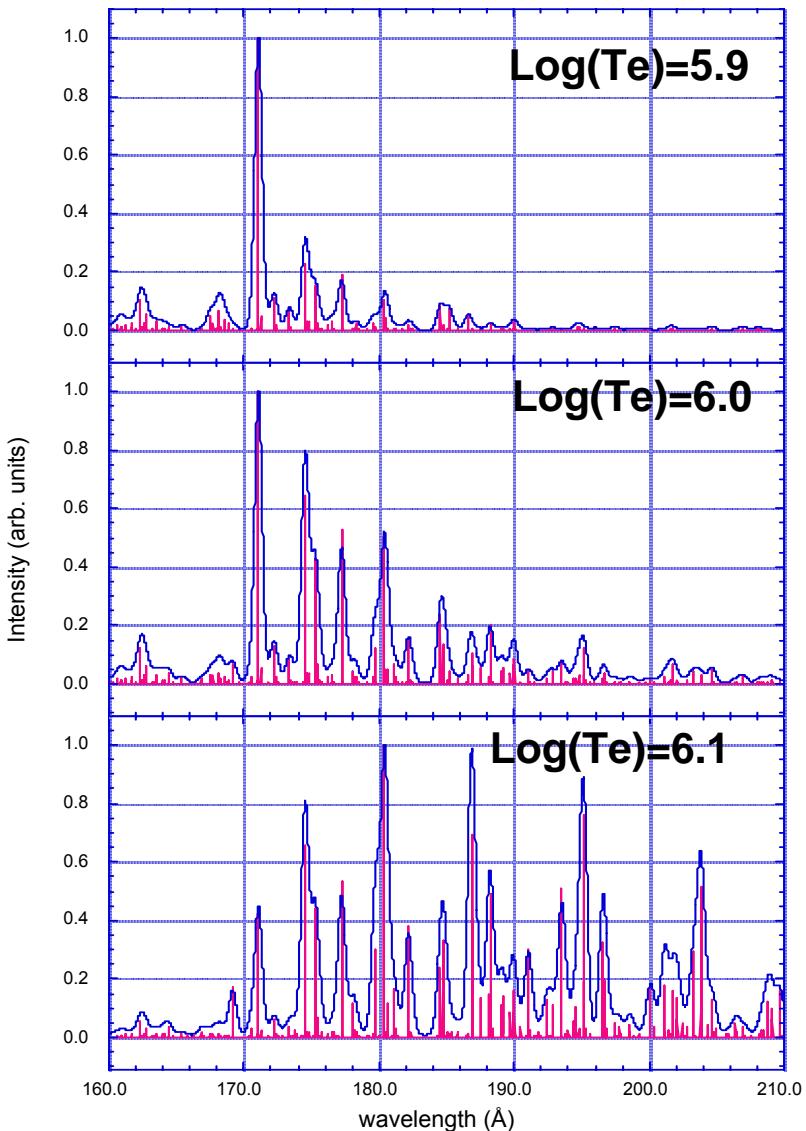
Recent CHIPS observations of FeIX soft x-ray emission indicate local ISM is out of equilibrium

Recent work of Hurwitz, Sasseen and Sirk:

- Canonical models have the local bubble as a CIE gas at XXX K.
- Diffuse x-ray emission is supports this picture.
- Substantial counts above the background in the bins covering FeX - FeXII should be seen.
- As a result, the soft x-ray emitting gas must be at a temperature below XXXK.

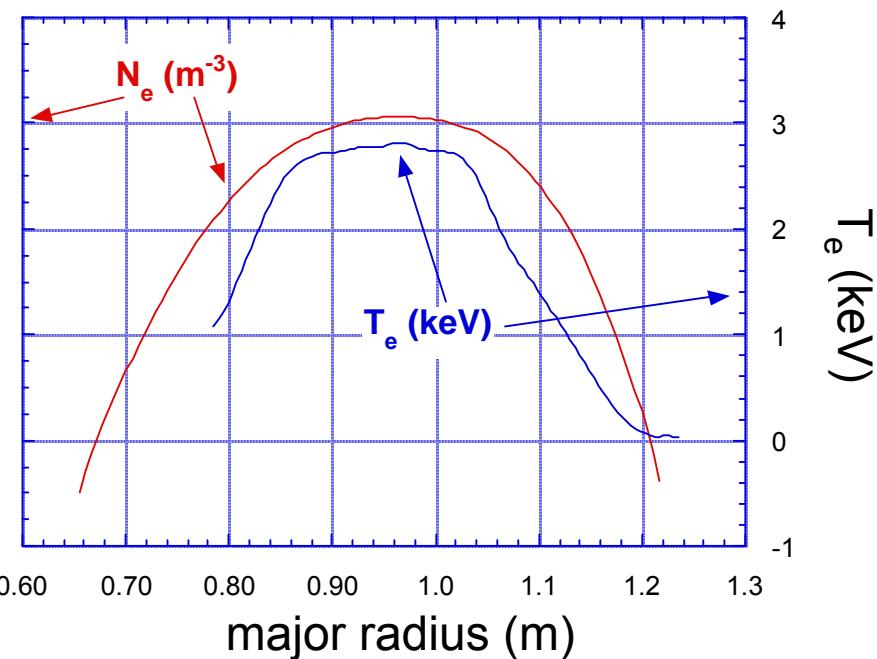
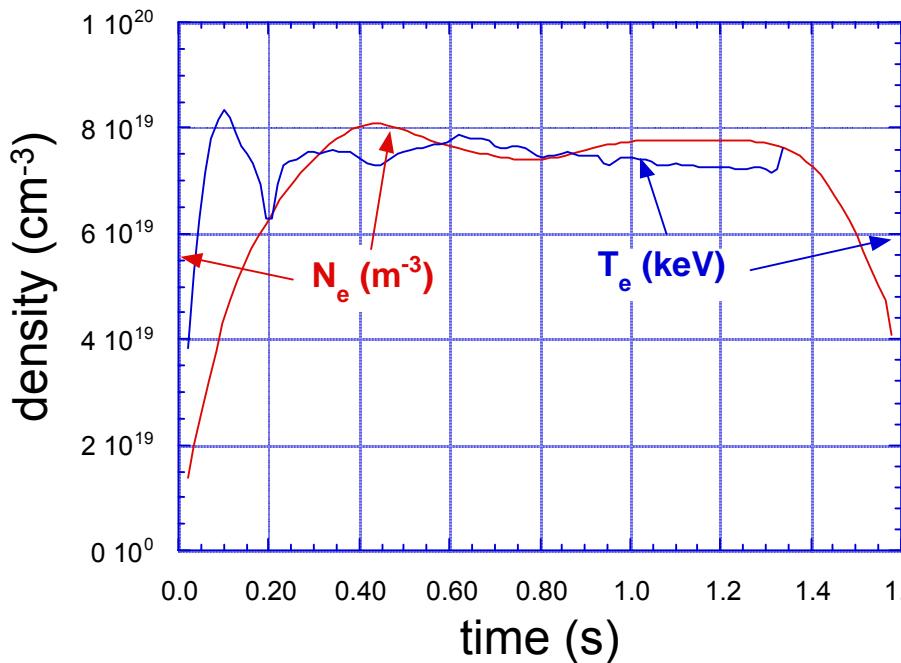


Measured M-shell iron spectrum is a sensitive thermometer



- Ramp-down plasma temperature profile is measured to be nearly single valued
- Ionization equilibration times are 10's of μs , much faster than changes in plasma conditions
- Measured volume-averaged temp $\langle \text{T}_e \rangle = 75 \pm 30 \text{ eV}$ ($\text{log}(\text{T}_e) = 5.94$).

Electron temperature and density profiles and histories for a typical FTU discharge



Impurity transport modeling

Line and continuum radiative coefficients $R_q(T_e)$ for all charge states 'q' and filters (**HULLAC**)

$N_e(R) T_e(R)$

magnetic
 $\Psi(R, Z)$

First guess impurity fractions from
 $\Delta n=1-2$ and $\Delta n=2-3$ (NBI)
high/medium resolution spectra

$N_e(r) T_e(r)$
 $0 < r < a$

Density of
ion 'q'
transport

ionization / recombination / CX

SOL
losses source (neutrals)

$$\frac{\partial N_q(r, t)}{\partial t} = -\frac{1}{r} \frac{\partial}{\partial r} (r \Gamma_q) + I_{q-1} N_{q-1} - (I_q + R_q) N_q + R_{q+1} N_{q+1} - \frac{N_q}{\tau_{SOL}} + S_q$$

diffusion convection

Emissivity

$$\Gamma_q = -D_q \frac{\partial N_q}{\partial r} + V_q N_q$$

$$EM_q^j = Q_q^j(T_e) N_q N_e$$

$$q = 1 \div Z$$

MIST

EFIT
 $\Psi(R, Z)$

P_{rad} , USXR, SXR profiles
and spectrum

- Cross-field particle flux (D,V) varied to match complete experimental data set
- Self-consistent transport solution obtains from time-dependent computations

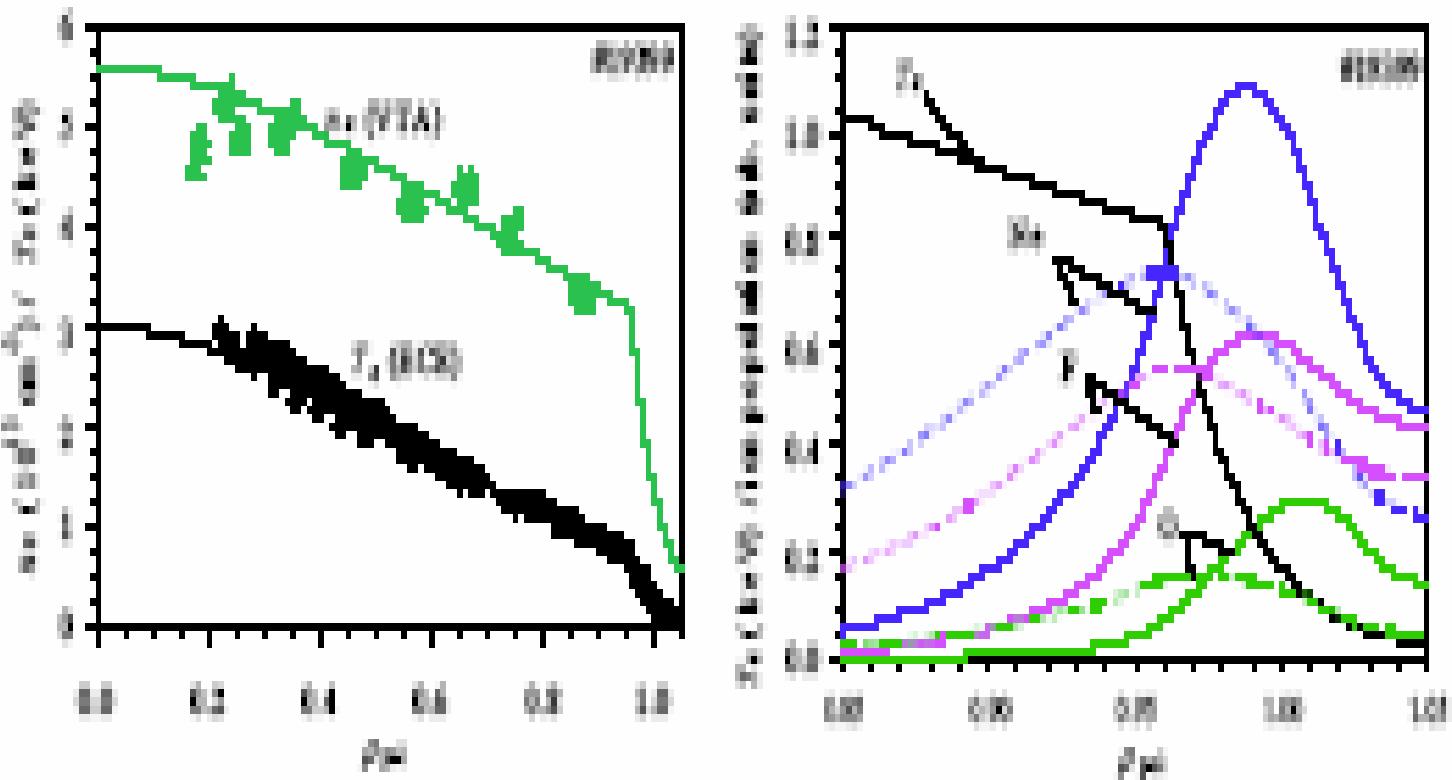
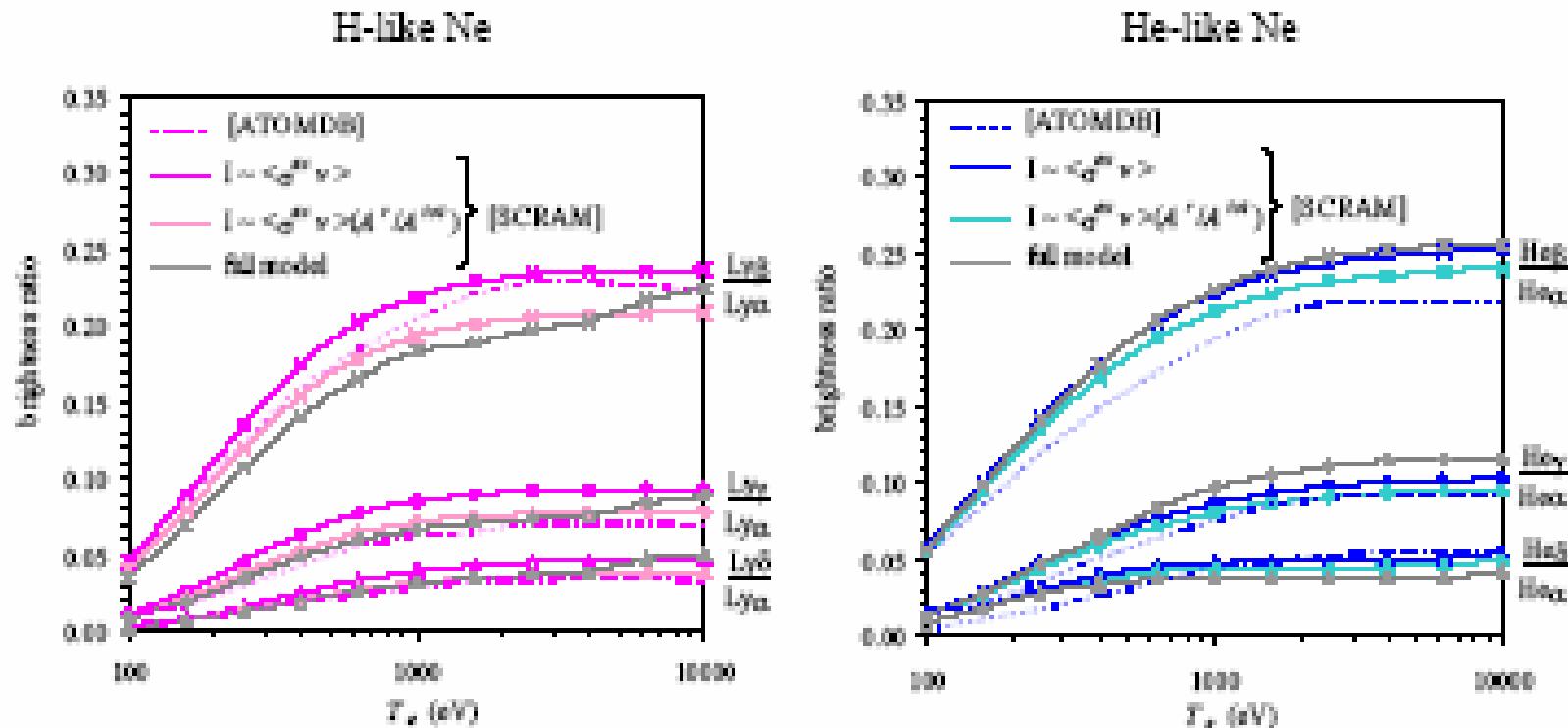


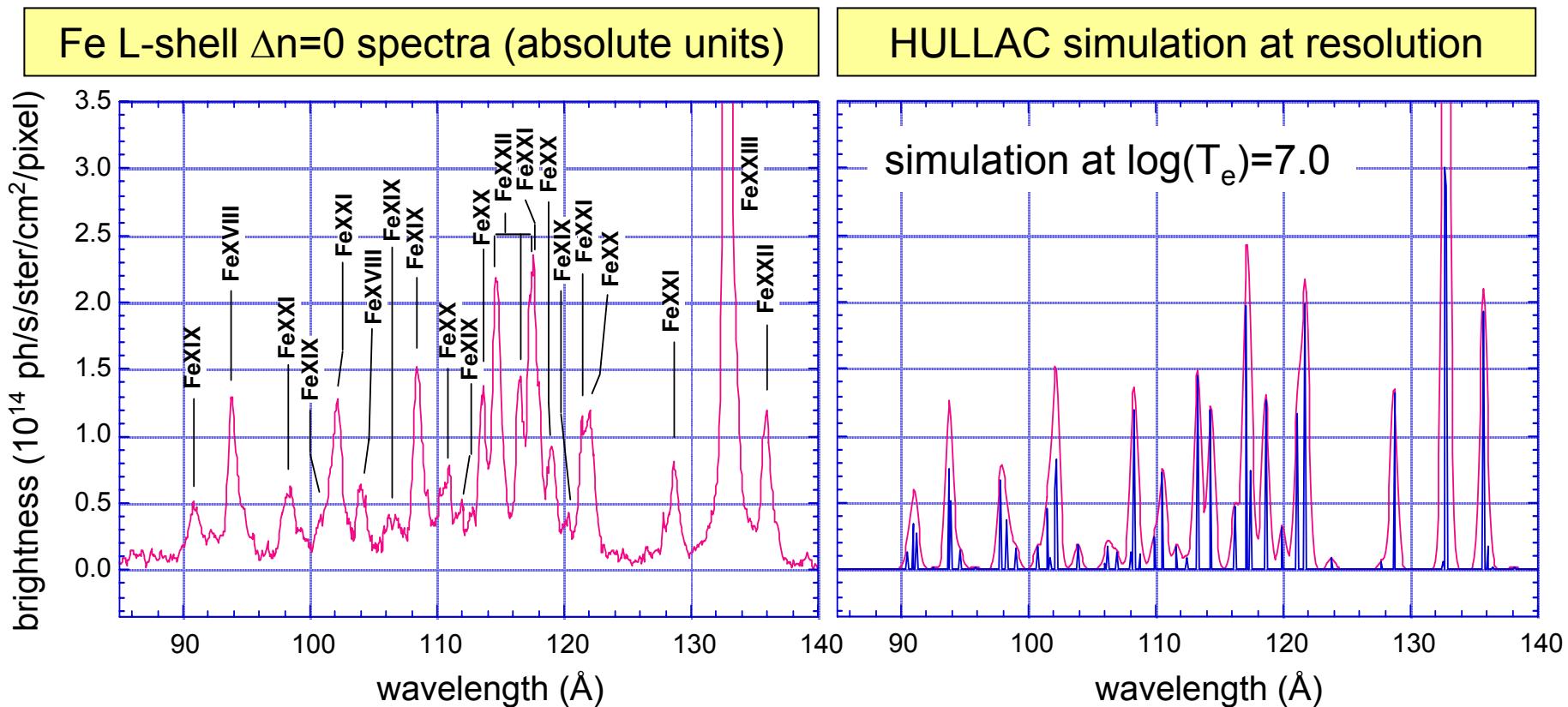
Fig. 1.— Left: measured n_e and T_e along the poloidal axis. Right: He-like (solid) and H-like (dashed) ion populations from STRAHL in the radiating region $\rho_{\text{pol}} = 0.85 - 1.05$.

Model dependence of $(np - 1s)/(2p - 1s)$ ratios



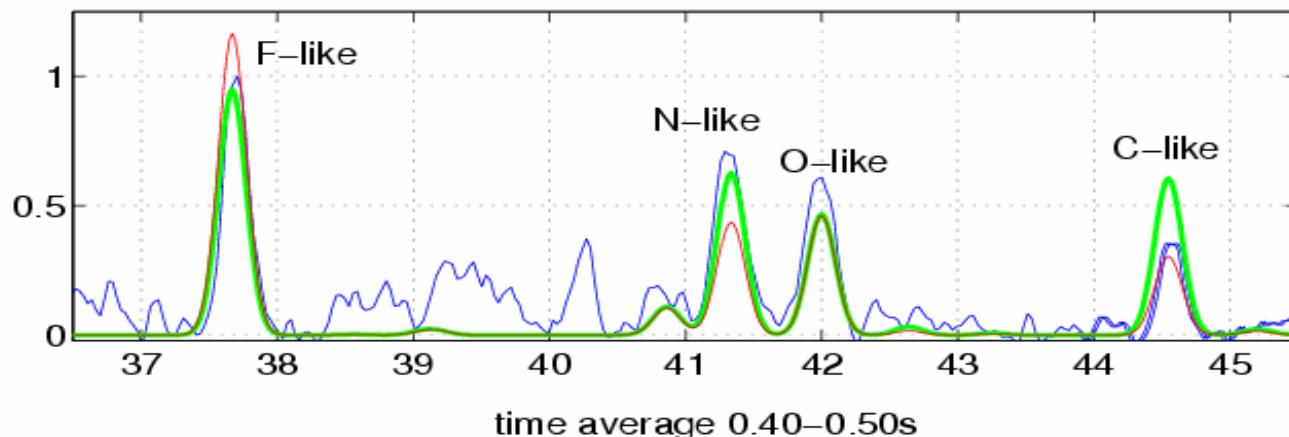
In the coronal approximation (Intensity $\sim \langle \sigma v \rangle$), intensities are limited by collisional excitation rates. Branching ratios A^P/A^{1s} , kinetic effects (cascades, recombination...), and the underlying model structure also affect line intensities.

Observed L-shell lines serve as local density diagnostics in stellar coronae

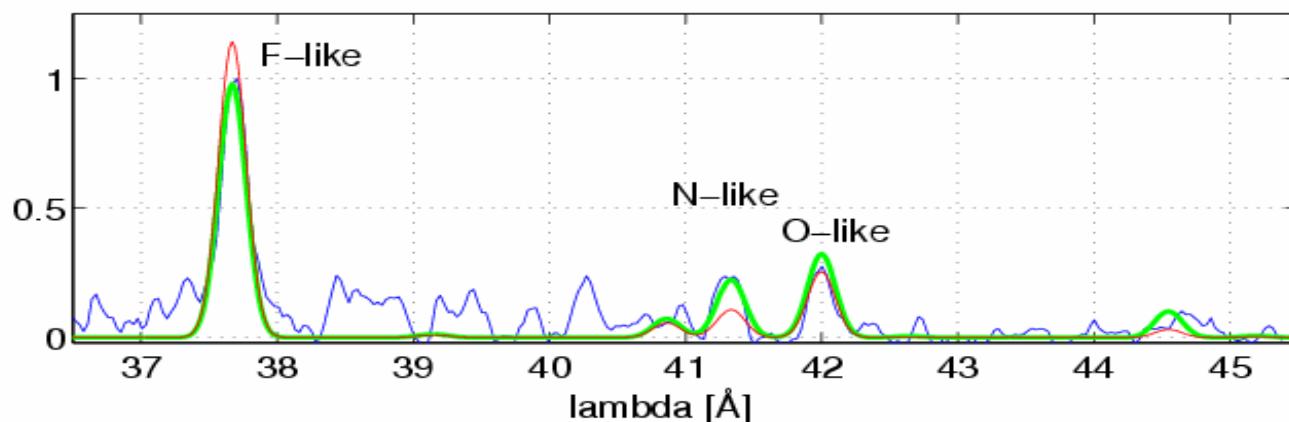


Pre-injection background signal subtracted from data

time average 0.22–0.32 s



time average 0.40–0.50s



Comparison between experimental and computed
L-shell Mo spectra emitted from FTU tokamak

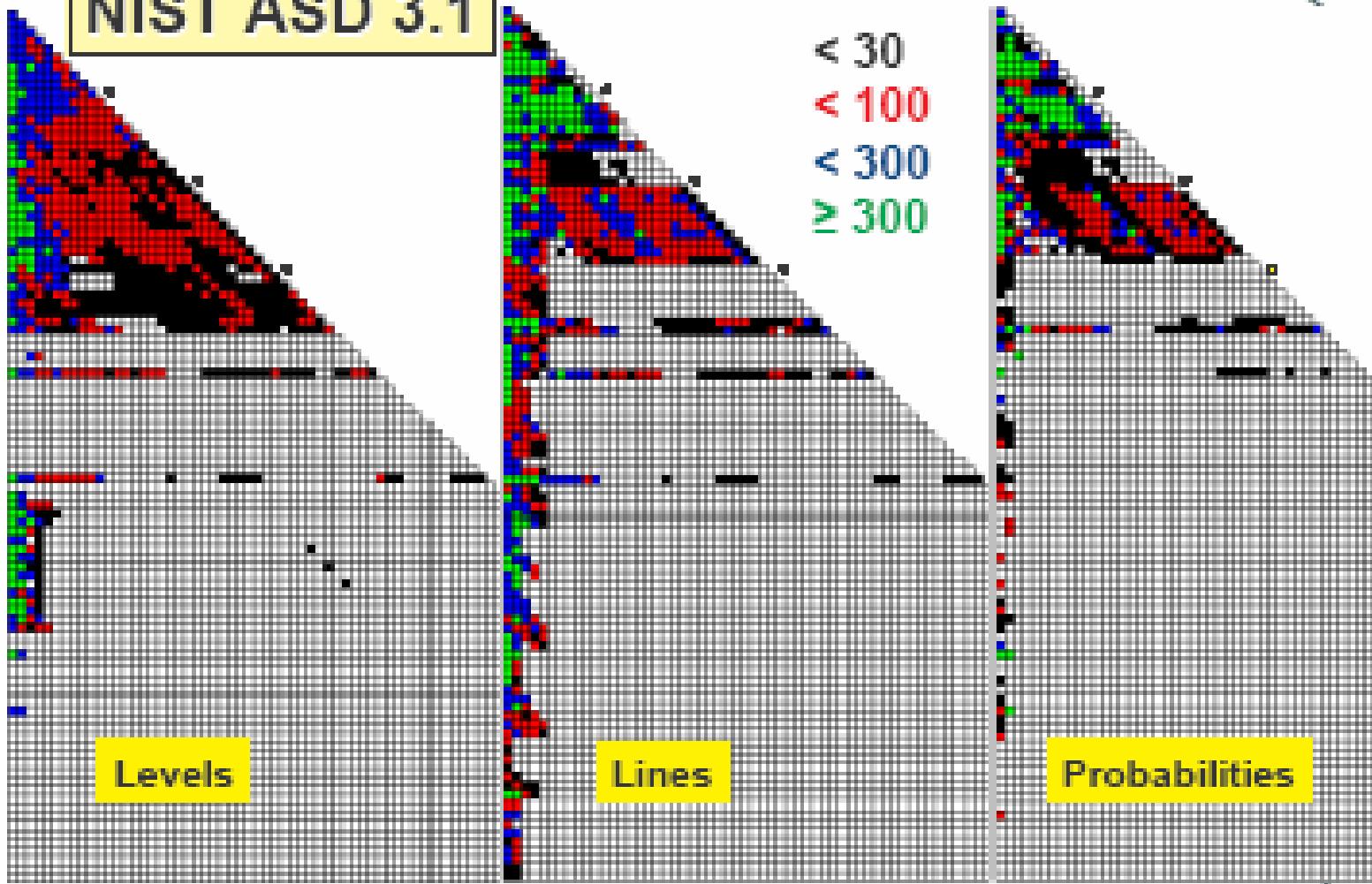
Atomic Processes Involved in Modeling

- Ionization
 - Electron/proton collisions
 - Photoionization
 - Innershell ionization
- Recombination
 - Dielectronic
 - Radiative
 - Charge exchange
 - 3-body
- Excitation/De-excitation
 - Electron/proton collisions
 - Photoexcitation
 - Radiative decay/cascades

• **Laboratory observations have the capabilities to**

- catalog lines and study/deconvolve line blends
- benchmark N_e and T_e diagnostic lines and ratios
- look at ionization-equilibria emission spectra
- look at non-thermal effects

NIST ASD 3.1

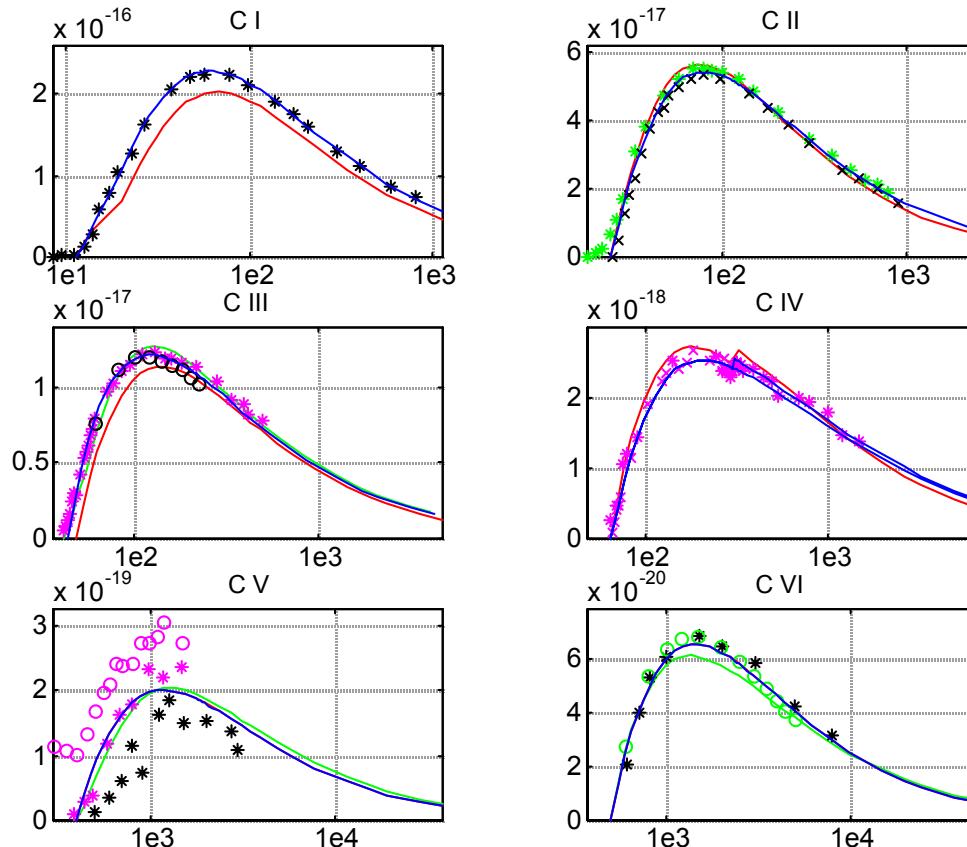


Y: nuclear charge; X: ion charge

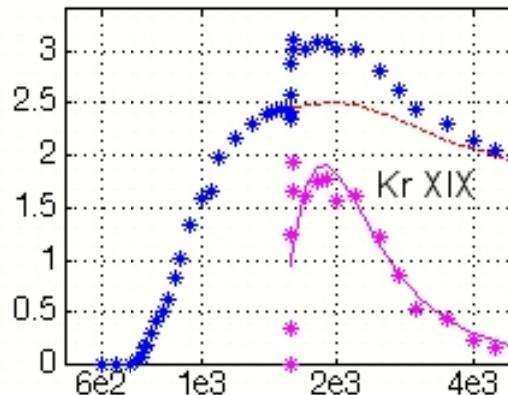
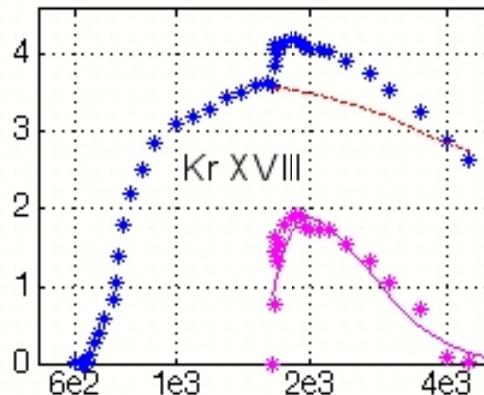
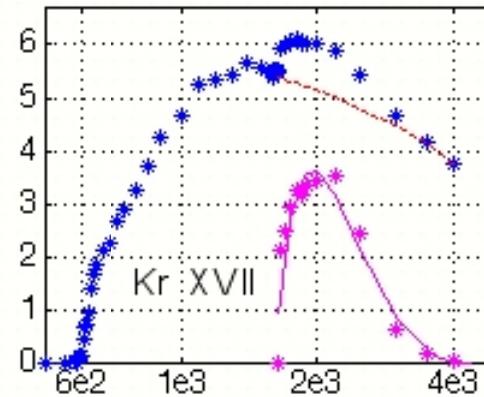
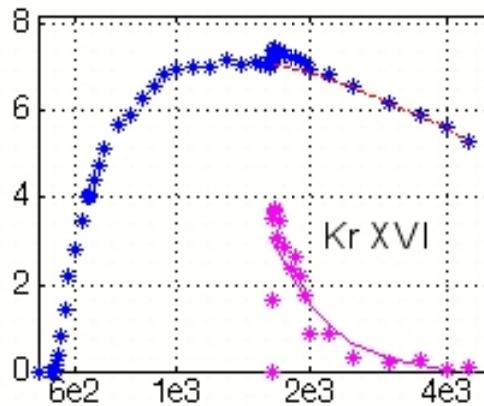
TABLE I: Internet atomic databases for high-temperature plasmas. Key: CS – cross-sections, EL – energy levels, KP – plasma kinetic parameters, LB – line broadening, MSC – miscellaneous spectroscopic and collisional data, RC – rate coefficients, SP – plasma emission spectrum, TP – transition probabilities, WF – wavefunctions, WL – wavelengths.

| Institution (Country) | | Numerical Data | Bibliographic Data | Online Calculations |
|-------------------------------|--|----------------|--------------------|---------------------|
| Database(s) | | | | |
| Harvard-Smithsonian CfA (USA) | | | | |
| KELV, Kurucz | | EL,WL,TP | | |
| IAEA (Austria) | | | | |
| ALADDIN | | | MSC | |
| A LADDIN | | CS,RC | | |
| EXCITE, RATES | | | | CS,KP |
| LAPCM (China) | | | | |
| CAMDB | | MSC | MSC | |
| ISAN (Russia) | | | | |
| BIBL | | | MSC | |
| KAERI (Korea) | | | | |
| AMODS | | MSC | | EL,WL,TP |
| LANL (USA) | | | | |
| LAPC | | | | MSC |
| NASA (USA) | | | | |
| TIPTOP | | EL,WL,TP;CS,RC | | |
| NIFS (Japan) | | | | |
| PLAM | | CS,RC | MSC | |
| NIST (USA) | | | | |
| ASD | | EL,WL,TP | TP,LS | SP |
| Chandra | | EL,WL,TP | TP,LS | |
| ATP | | | TP | |
| ASL | | | LB | |
| ORNL (USA) | | | | |
| Bibliography | | | MSC | |
| MIRF | | CS | | |
| Vanderbilt University (USA) | | | | |
| MCHF/MCDHF | | EL,WL,TP | | WF |
| VNIITF-VNIIFTRI (Russia) | | | | |
| SPECTR-W [®] | | MSC | MSC | |

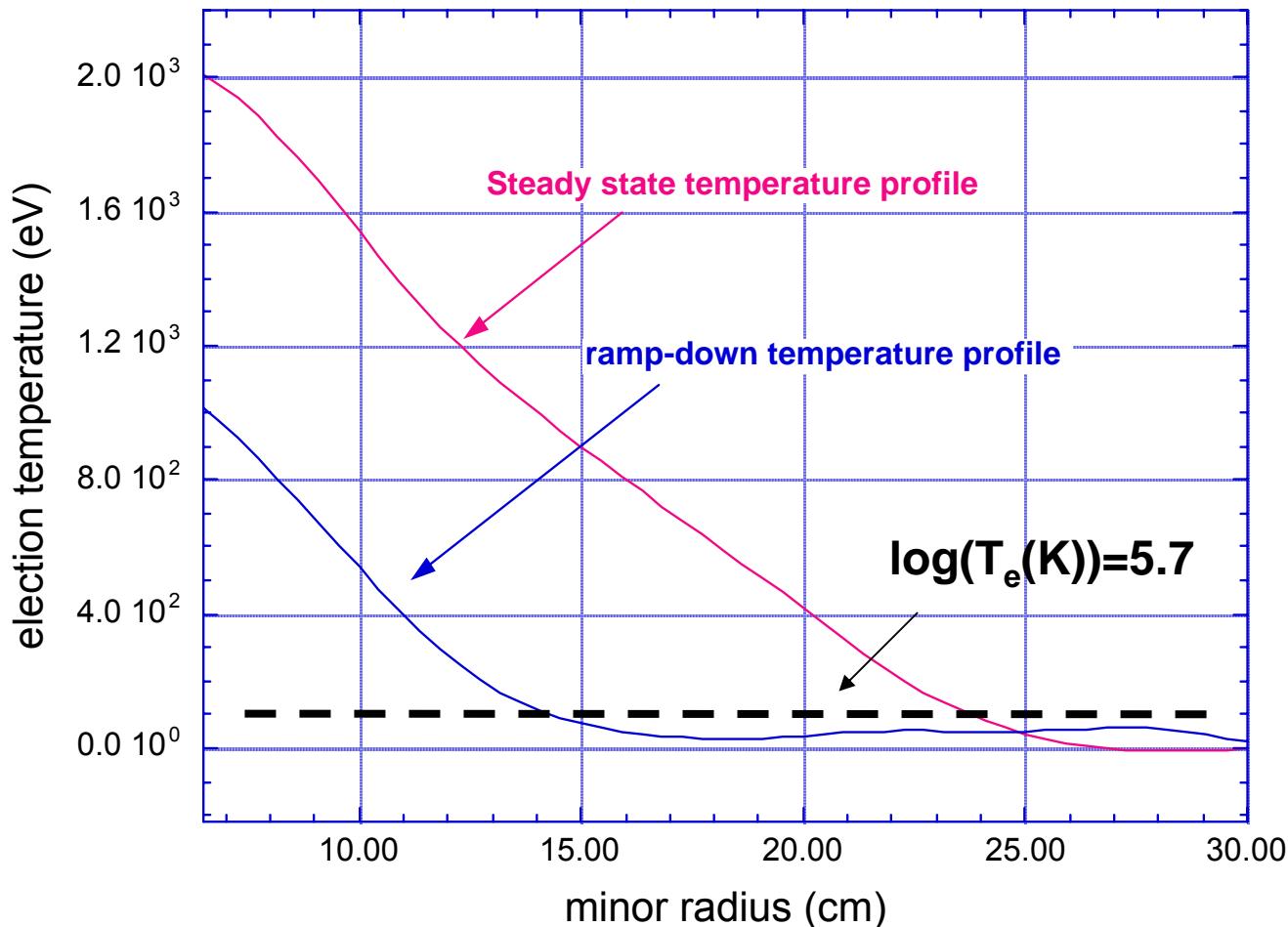
Electron impact ionization rates (ap. Mattioli)



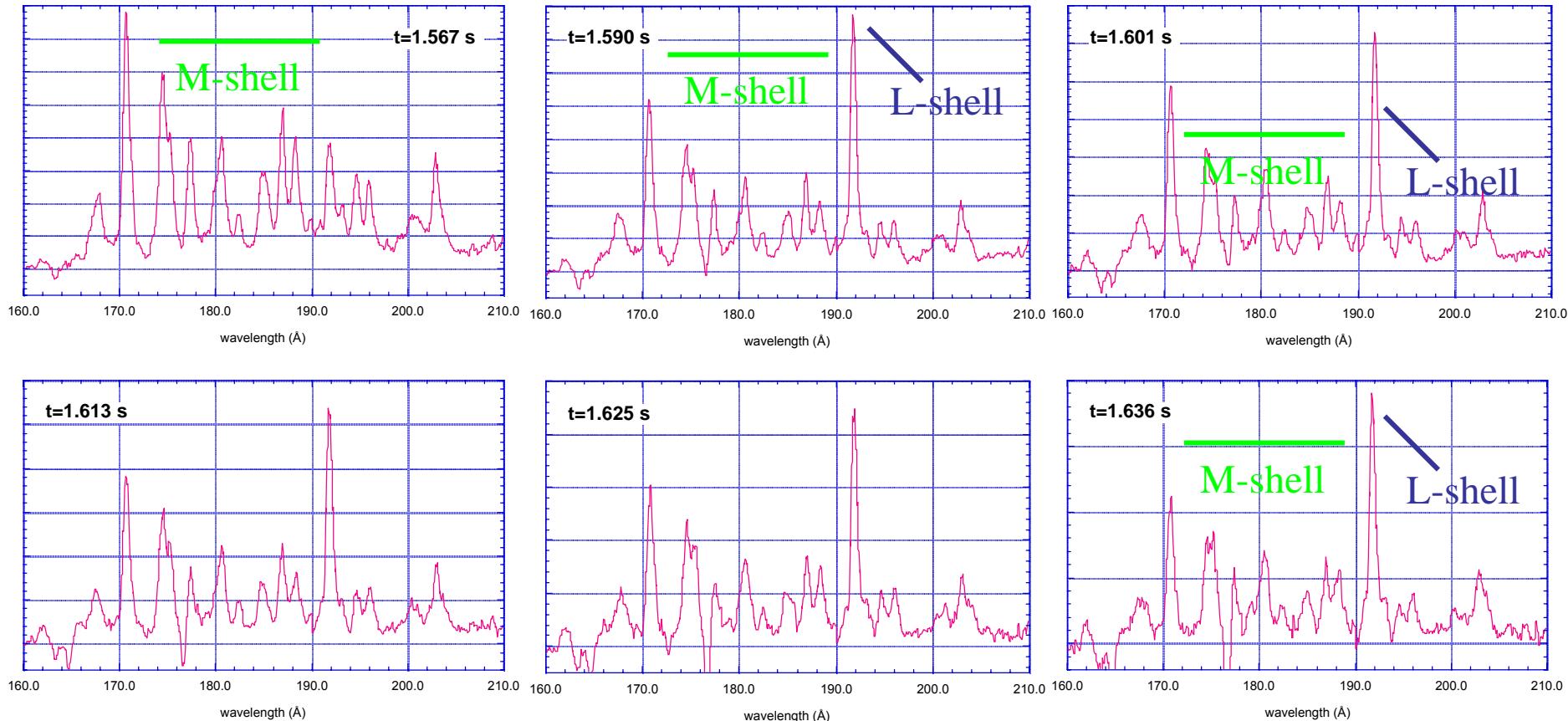
Electron impact ionization rates for Kr (ap. Mattioli)



Electron temperature during rampdown is essentially gradient free over large volume

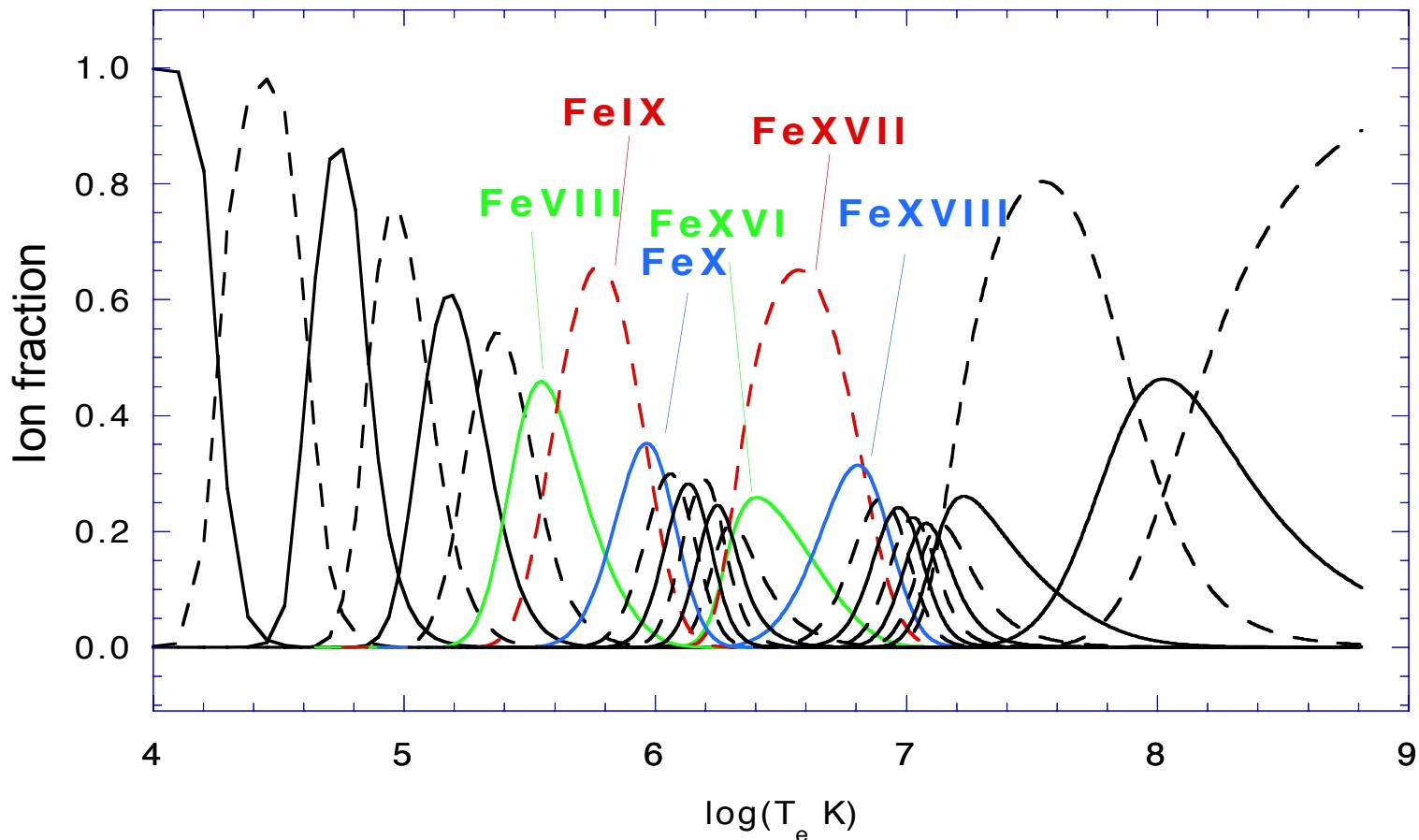


Background subtracted M-shell iron data from a current ramp-down injection in FTU



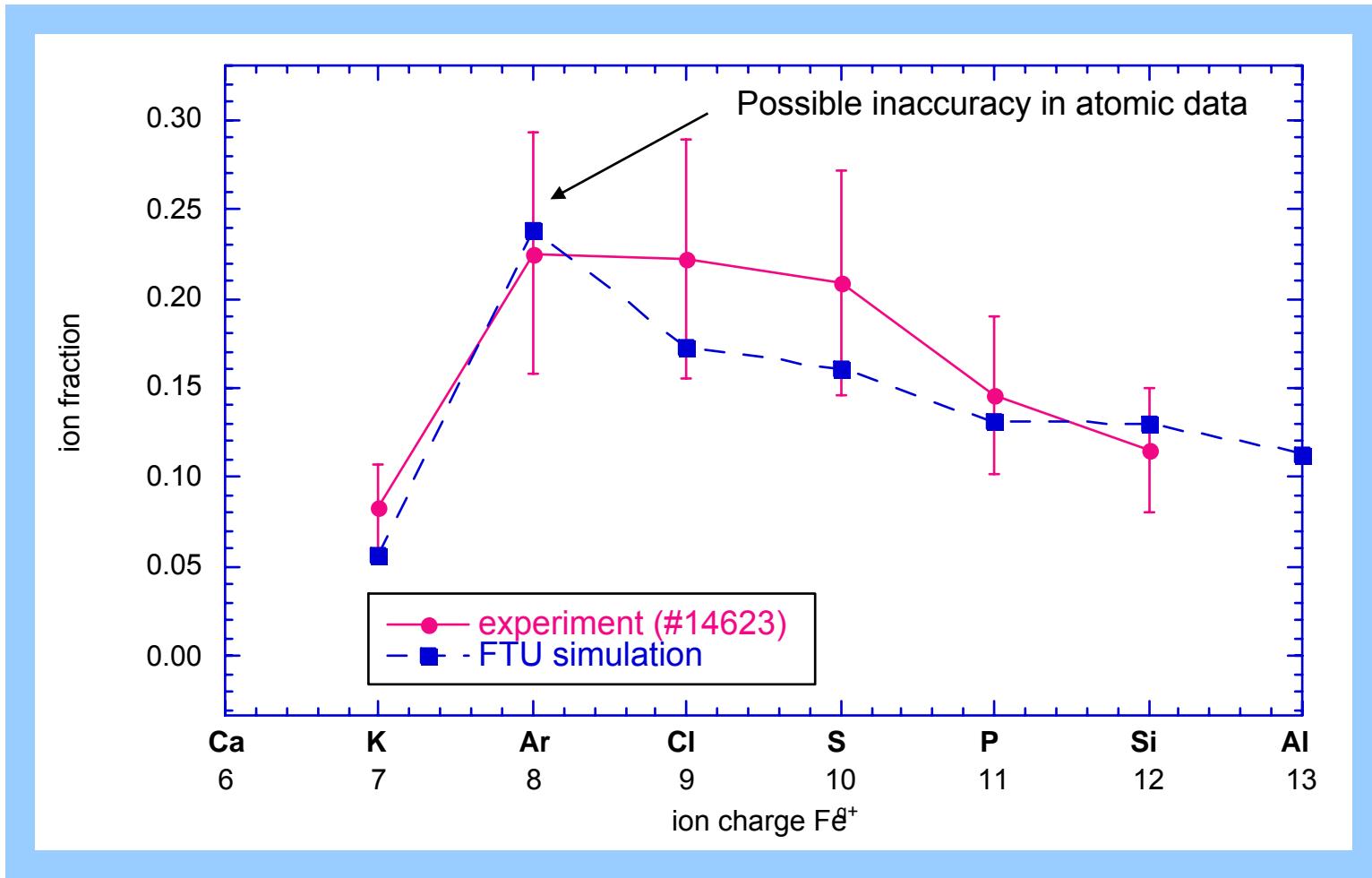
We see the evolution of the iron charge state distribution during the injection

Ionization equilibrium calculations are essential for interpreting spectroscopic data



Calculations must include multi-step processes (EA and DR)

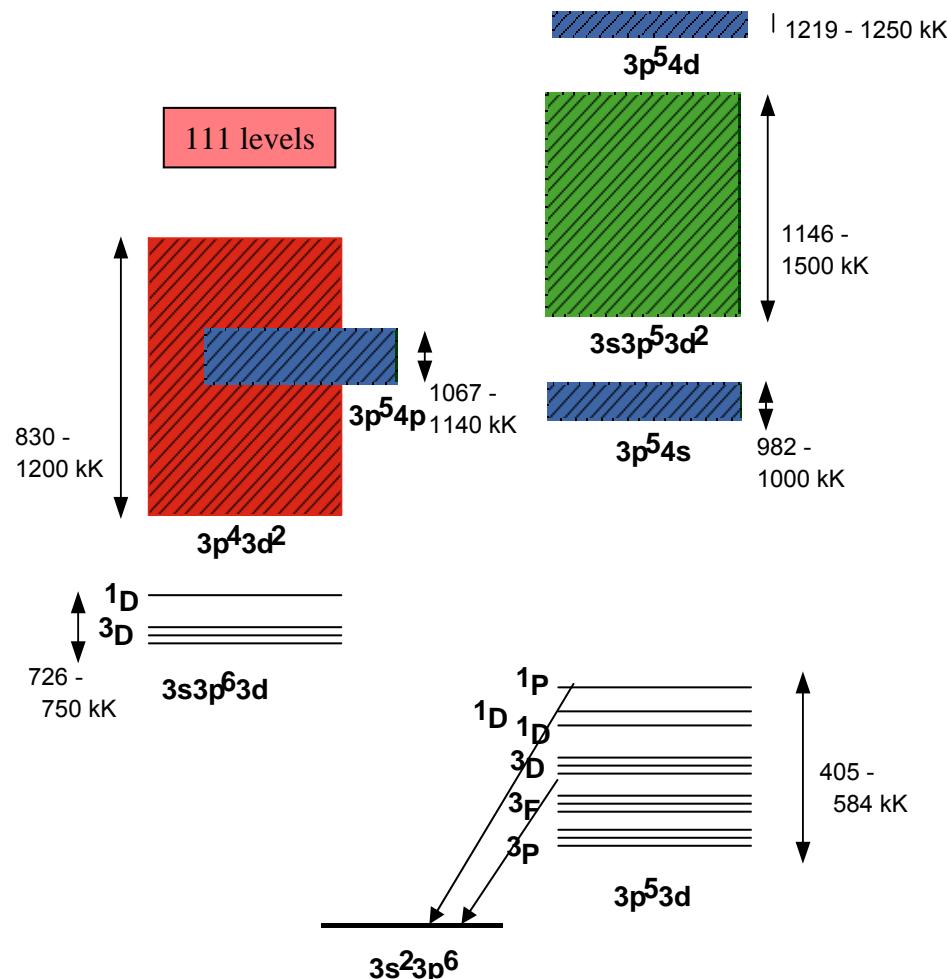
Measured iron M-shell CSD and best simulation using updated atomic physics



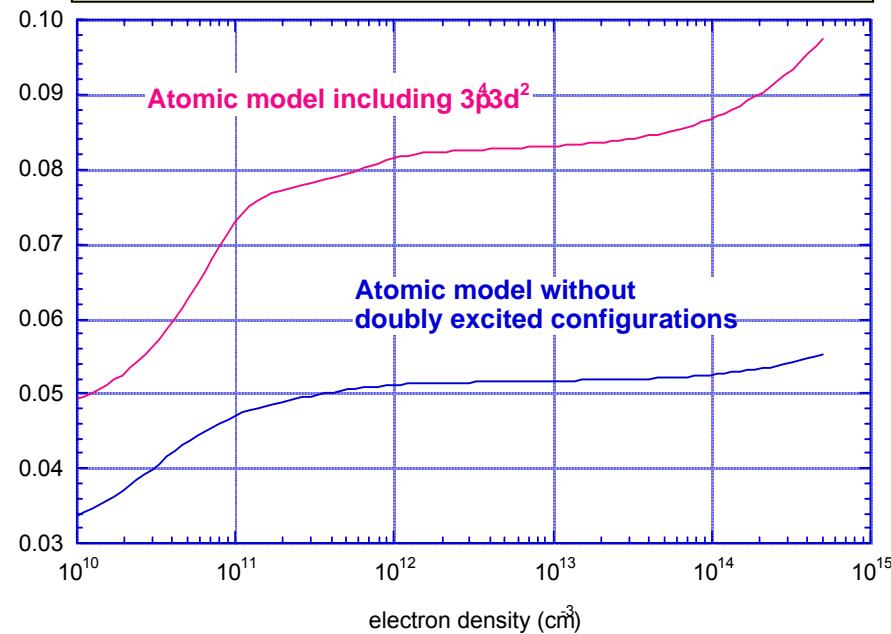
These observations confirm modeling codes used to compute emission measures

FeIX line emission is sensitive to population flux from highly excited configurations

Some configurations in FeIX



FeIX Ratio (217Å/171Å)

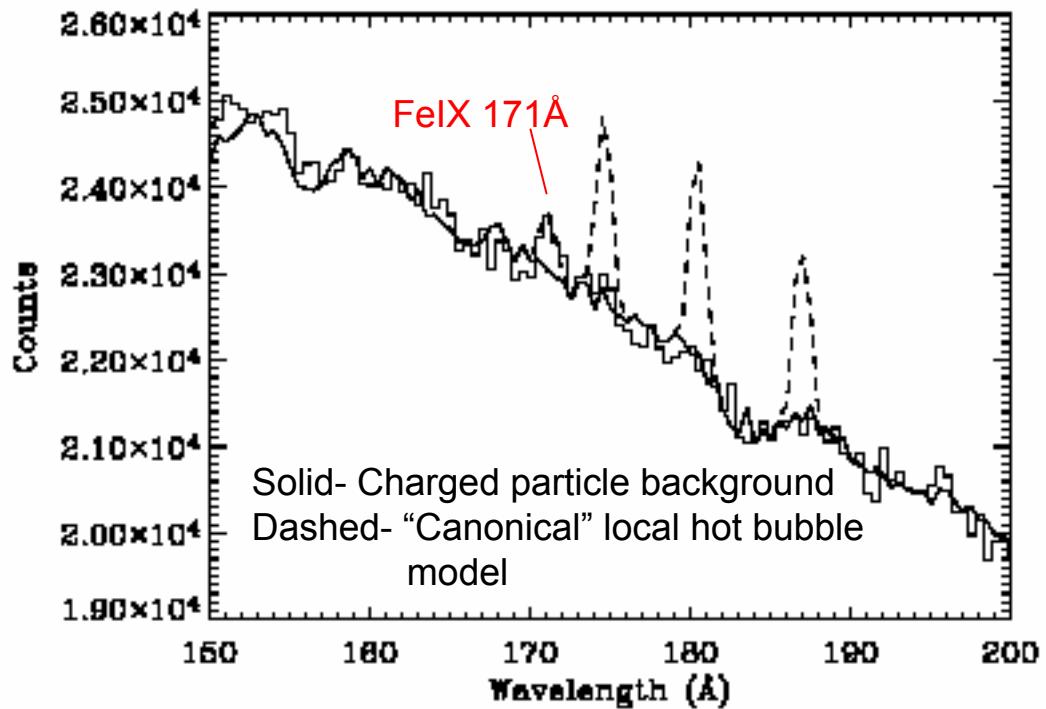


Including $3p^4 3d^2$ in the FeIX model results in a $\geq 50\%$ enhancement of the ${}^3D_1 - {}^1S / {}^1P - {}^1S$ ratio

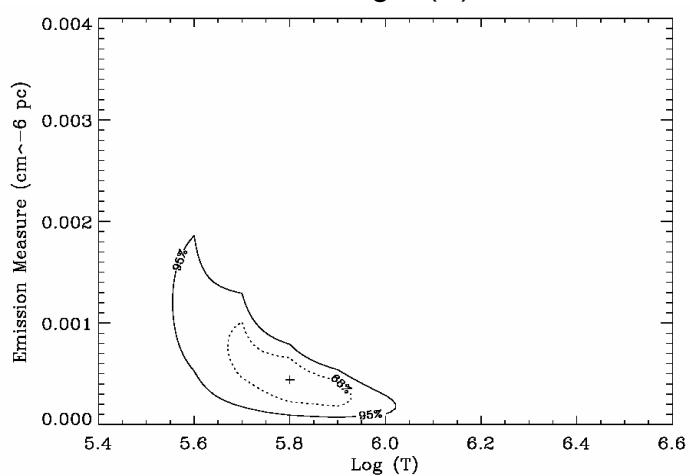
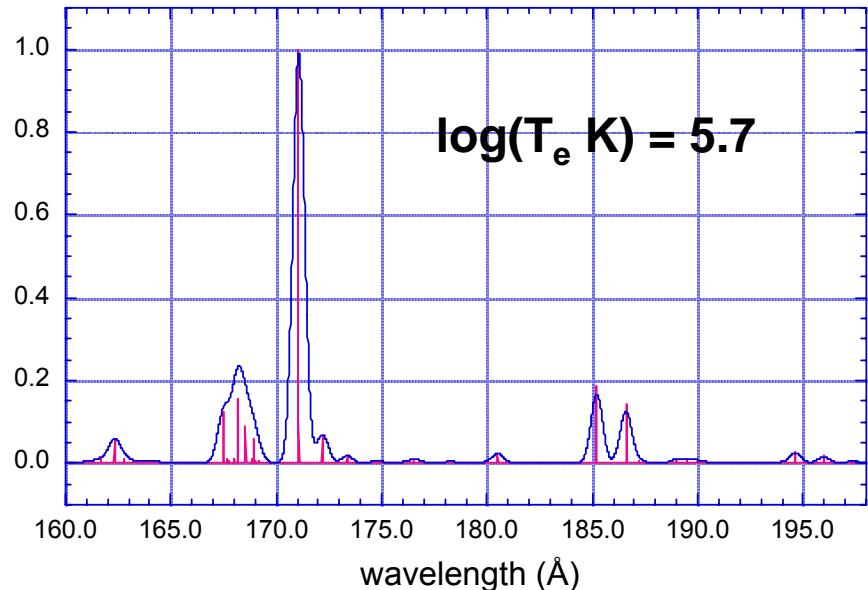
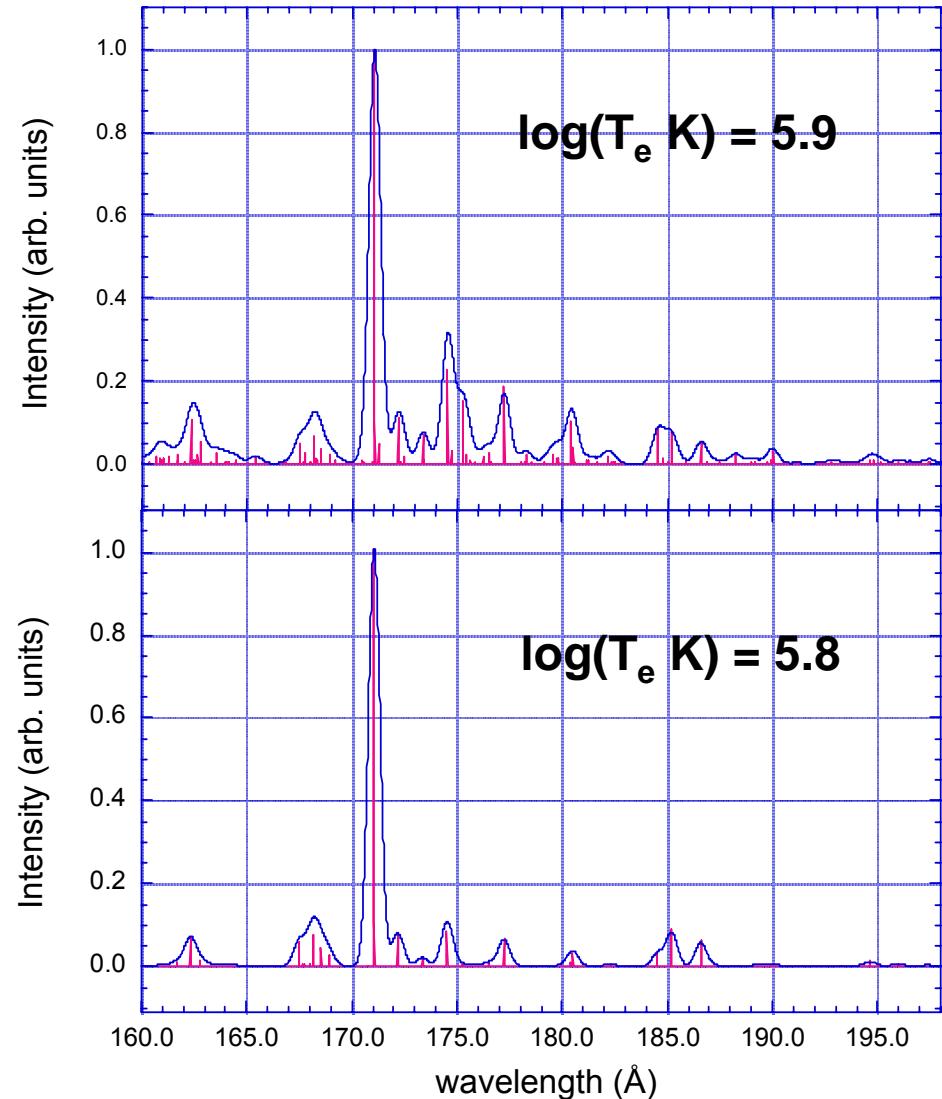
Recent CHIPS observations of FeIX soft x-ray emission indicate local ISM is out of equilibrium

Recent work of Hurwitz, Sasseen and Sirk:

- Substantial counts above the background in the bins covering FeX - FeXII emission should be seen to be in agreement with the canonical models of the local bubble as a CIE gas.
- Diffuse x-ray emission supports this picture.
- Our results indicate a lower temperature of the emitting gas



M-shell simulations support CHIPS local ISM observations of $\log(T_e)$ -XXY component



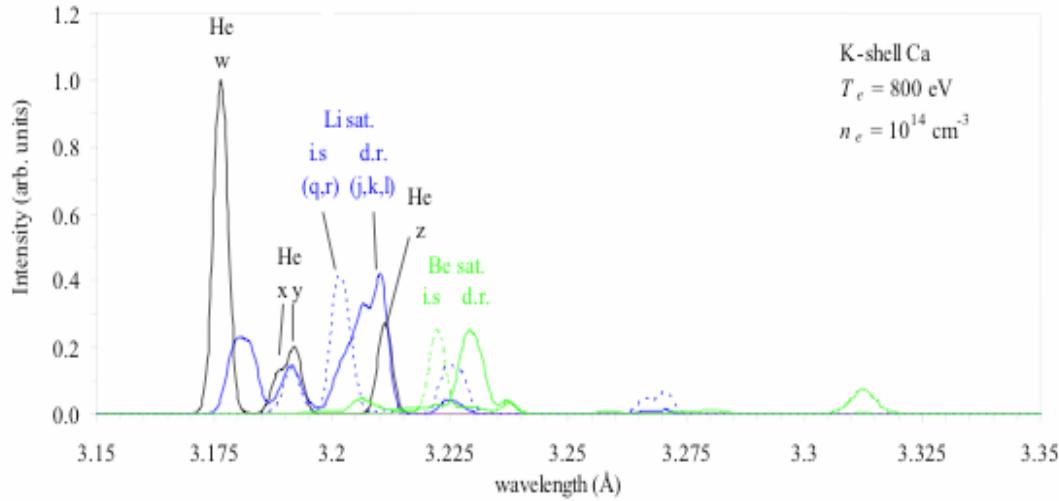
Conclusions

- General and obvious: both communities, astrophysical and fusion, need data in all the domains, atomic structure, collisional and photo-excitation, ionization and recombination
- General and not-obvious: how do we get all these in a more efficient manner
- ICAMDATA conferences offer a very good environment for these kind of discussions!

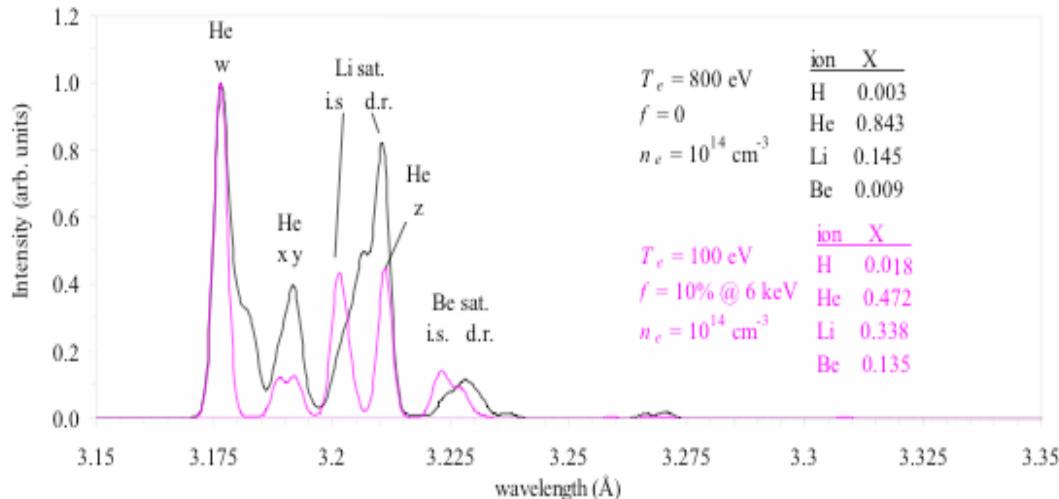


EXTRA SLIDES

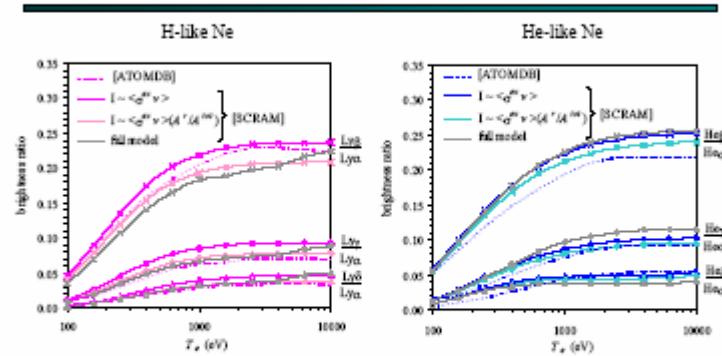
Non-thermal plasma effects can be studied with appropriate heating schemes



Hot electrons broaden charge-state distributions and enhance inner-shell lines



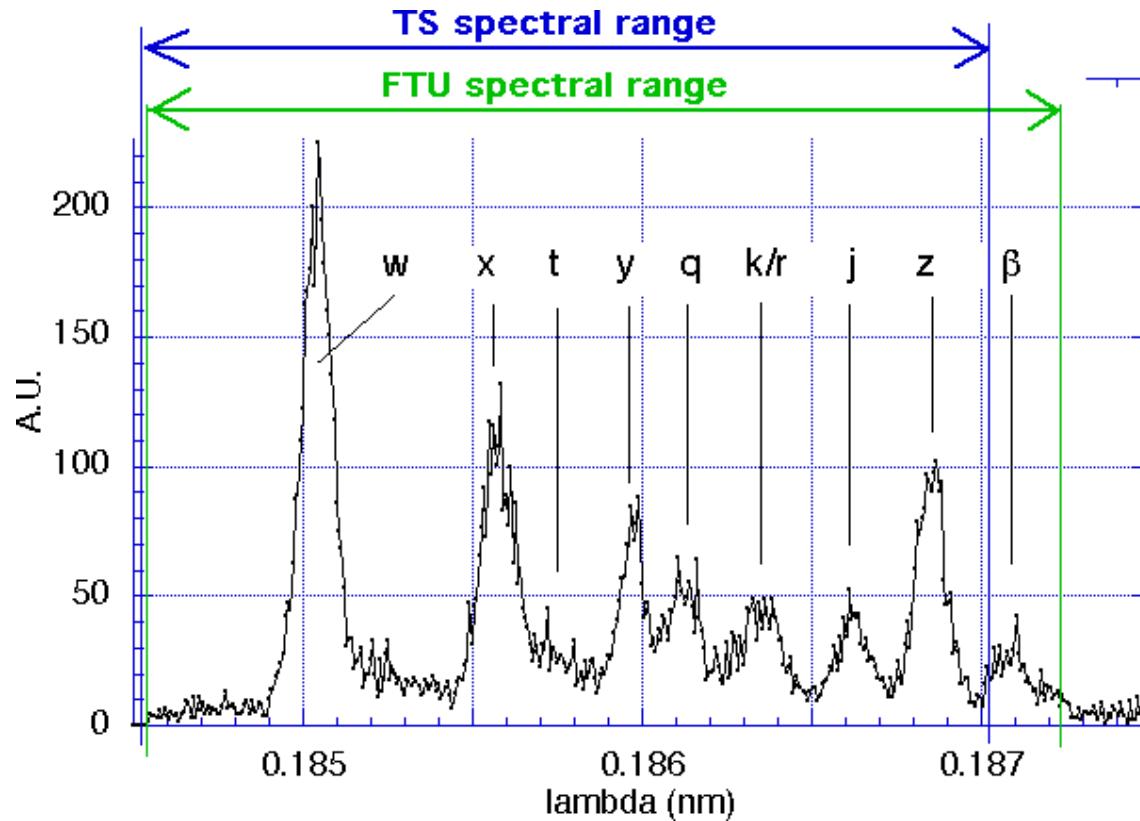
Model dependence of $(np - 1s)/(2p - 1s)$ ratios



In the coronal approximation (Intensity $\sim \langle \sigma v \rangle$), intensities are limited by collisional excitation rates. Branching ratios A' / A^{tot} , kinetic effects (cascades, recombination...), and the underlying model structure also affect line intensities.

Thermal plasmas can be used to study Fe K-shell ionization balance and spectral line strengths

Data courtesy of M. Leigheb, <http://efrw01.frascati.enea.it/Documents/TaskForcesFTU/Spectroscopy/CEAENEA>



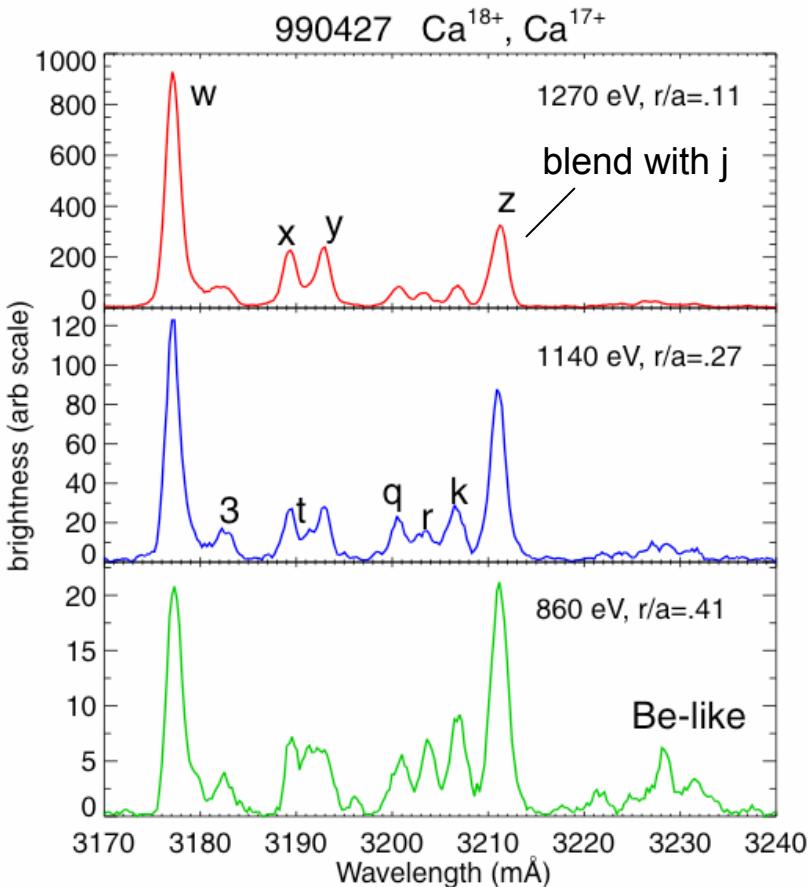
Bent crystal spectrometer:
Spectral resolution ≥ 10000
time resolution = 140 ms
possible to select among eight different wavelength ranges

$$\begin{aligned}T_i &= 12.8 \text{ MK} \\T_e &= 25.5 \text{ MK} \\n_H : n_{\text{He}} : n_{\text{Li}} &:: \\0.02 : 1.0 : 0.6\end{aligned}$$

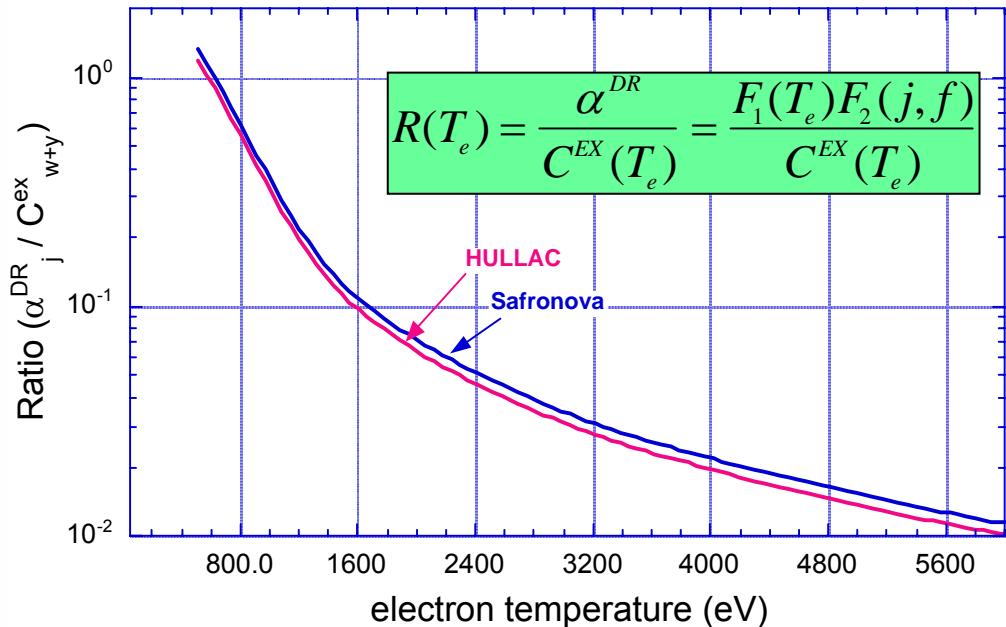
Fe K-shell lines will be discussed at length by others at this meeting
(from titles alone):
Palmeri, Beiersdorfer, Liedahl, Jacobs, Rozanska

K-shell diagnostic line ratios can be benchmarked in thermal plasmas

Ca injection in Alcator C-Mod



DR to CX rate coefficients for Ca



The usual (j+k)/W ratio used to diagnose T_e is compromised here by blending of Z and j